

FASTENAL CO  
Form 3  
May 06, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Soderberg John Lewis  
(Last) (First) (Middle)  
1757 SNOWFLAKE PLACE  
(Street)  
ONALASKA, WI 54650  
(City) (State) (Zip)

2. Date of Event Requiring Statement  
(Month/Day/Year)  
05/01/2014

3. Issuer Name and Ticker or Trading Symbol  
FASTENAL CO [FAST]

4. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Executive Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	174	I	Held in custodial account for Daughter
Common Stock	142	I	Held in custodial account for Daughter
Common Stock	157	I	Held in custodial account for Son
Common Stock	2,734 <sup>(3)</sup>	I	Held in issuer 401(K) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: FASTENAL CO - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	05/31/2016	Common Stock	35,000	\$ 22.5	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	05/31/2021	Common Stock	10,000	\$ 54	D	Â
Employee Stock Option (Right to Buy)	Â <u>(2)</u>	05/31/2023	Common Stock	30,000	\$ 56	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Soderberg John Lewis 1757 SNOWFLAKE PLACE ONALASKA, WI 54650	Â	Â	Â Executive Vice President	Â

## Signatures

John J.  
Milek-Attorney-in-Fact

05/06/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These options fully vest and become exercisable over a period of eight years, with 50% vesting and becoming exercisable half way through the vesting period and the remainder vesting and becoming exercisable proportionately on each anniversary of the option grant date thereafter.

These options fully vest and become exercisable over a period of five years, with 50% vesting and becoming exercisable half way through the vesting period and the remainder vesting and becoming exercisable incrementally (20%, 20% and 10%) on each anniversary of the initial vesting date (with the final 10% vesting and becoming exercisable on May 1).

(3) Shares attributed to reporting person's account with issuer's 401(K) Plan as of May 2, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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