## Edgar Filing: LU HONG LIANG - Form 4

LUTIONC LIANC

Form 4 August 13, 2009  OMB APPROVAL Washington, D.C. 20549  OMB APPROVAL Mumber: 2235-027: Section 16.    Check this box fue to large section 16.  TATEMENT OF CHANGES IN BENEFICIAL JWNERSHIP Section 16.  OMB APPROVAL Mumber: 2235-027: Section 16.  OMB APPROVAL Mumber: 2235-027: Section 16.    Form 4 or Section 16.  TATEMENT OF CHANGES IN BENEFICIAL JWNERSHIP Section 17(a) of the Public Utility Holding Company Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1930 or Section 1000  Tatement of Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1930 or Section 30(h) of the Investment Company Act of 1930 or Section 1000    (Print or Type Response)  1. Issuer Name and Ticker or Trading Symbol  5. Relationship of Reporting Person(s) to Issuer    (Lift HONG LIANG  (First)  (Middle)  3. Date of Earliest Transaction (Month/Day/Year)  Check all applicable)    (Lift HONG LIANG  (First)  (Middle)  3. Date of Earliest Transaction (Month/Day/Year)  Check all applicable)    (Lift HONG LIANG  (First)  (Middle)  3. Date of Earliest Transaction (Month/Day/Year)  S. Counting Person Section  S. Counting Person Section    (Lift HONG LIANG  (First)  (Month/Day/Year)  S. Securities Securities Securities Securities Securities Securities (Month/Day/Year)  S. Month Obe Repor	LU HONG L	IANG										
FORM 4 INITED STATES SECURITIES IN BENEFICIAL SUBJECT S												
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 10(b). (Print or Type Responses) 1. Name and Address of Reporting Person. 1. Name and Address of Reporting Person. 2. Issuer Name and Ticker or Trading Symbol UTSTARCOM INC [UTSI] (Last) (First) (Middle) 3. Dut of Earliest Transaction (Month/Day/Year) (Month/Day/Year) (Issuer City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired Securities Recurities Acquired Securities Acquired Securities Recurities Acquired Securities Acquired Securities Recurities Acquired Securities Acquired Securities Recurities Acquired Securities Acquired Securities Acquired Securities Recurities Acquired Securities Recurities Acquired Securities Acquired Securities Code (D) (Month/Day/Year) (Instr. 3) (Instr.										OMB AI	PPROVAL	
Check this box  January 31,    if no longer  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF    Section 16.  SECURITIES    Section 16.  SECURITIES    Form 4 or  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,    obligations  Section 17(a) of the Public Utility Holding Company Act of 1935 or Section    section 17(a) of the Public Utility Holding Company Act of 1940  108.    (b).  (Print or Type Responses)    1. Name and Address of Reporting Person 10.  2. Issuer Name and Ticker or Trading Symbol  5. Relationship of Reporting Person(s) to Issuer    (Lu HONG LIANG  Symbol  UTSTARCOM INC [UTS]  (Check all applicable)    (Last)  (First)  (Middle)  3. Date of Earliest Transaction (Month/Day/Year)  S. Relationship of Reporting Person(s) to Issuer    1275 HARBOR BAY  08/12/2009		UNITED STATES SECURITIES AND EACHANGE COMMISSIO								ONID	3235-0287	
1. Name and Address of Reporting Person2. Issuer Name and Ticker or Trading Symbol UTSTARCOM INC [UTSI]5. Relationship of Reporting Person(s) to Issuer(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)1275 HARBOR BAY PARKWAY, SUITE 1003. Date of Earliest Transaction (Month/Day/Year) $X_{-}$ Director below)10% Owner (Diffeer (give tile)(Street)4. If Amendment, Date Original Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check Applicable Line) -X_ Form filed by One Reporting Person -Y_ Form filed by More than One Reporting Person(City)(State)(Zip)Table I - No-Derivative Securities Acquired Code (Instr. 3)6. Ownership Form filed by One Reporting Person -X_ Form filed by One Reporting Person -Y_ Nature of Securities Acquired -Y_ Nature of -Y_ Nature of -Y_ Nature of -Y_	if no long subject to Section 1 Form 4 of Form 5 obligation may conti <i>See</i> Instru	er <b>STATEM</b> 6. Filed purs inue. Section 17(a	A TEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ed pursuant to Section 16(a) of the Securities Exchange Act of 1934, on 17(a) of the Public Utility Holding Company Act of 1935 or Section								2005 average rs per	
LU HONG LIANG Symbol UTSTARCOM INC [UTSI] ISsuer ISSUER (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 08/12/2009 ISSUE ISSUE (Check all applicable) (Check all applicab	(Print or Type R	Responses)										
(Last)  (First)  (Middle)  3. Date of Earliest Transaction (Month/Day/Year)		Symb	ol			-	5	Issuer				
1275 HARBOR BAY PARKWAY, SUITE 100  08/12/2009 Officer (give tileOther (specify below)    (Street)  4. If Amendment, Date Original Filed(Month/Day/Year)  6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person    ALAMEDA, CA 94502  Table I - Non-Derivative Securities Acquired, Disposed of Security (Instr. 3)  6. Ownership 2. Transaction Date (Month/Day/Year)  2.A. Deemed Execution Date, if any (Month/Day/Year)  3.  4. Securities Acquired (D)  5. Amount of Securities (Instr. 3)  6. Ownership Form: Direct  6. Ownership Indirect (D)    (Instr. 3)  08/12/2009  G  V  10,500  D  \$0  80,775  I  By trust (I)    Common Stock  08/12/2009  G  V  10,500  A  \$0  49,225  L  By trust (I)	(Last)	(First) (M	liddle) 3. Dat	te of Earlies	st Tra	insaction			(Check all applicable)			
Filed(Month/Day/Year)Applicable Line) .X_ Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person(City)(State)(Zip) $Table I - Nor-Derivative Securites Securites Acquired, Disposed of or BeneficialTransaction(A) or Disposed of Or Disposed of Or BeneficialOwnedIndirect (I)6. OwnershipForm: DirectIndirect (II)7. Nature ofBeneficialOwnedIndirect (II)1. Title ofSecurity(Instr. 3)2. Transaction Date(Month/Day/Year)2.A. DeemedExecution Date, ifany(Month/Day/Year)3.4. Securities AcquiredTransaction(A) or Disposed ofOcde(Instr. 8)5. Amount ofDo Misposed ofSecurity(Instr. 3, 4 and 5)6. OwnershipForm: Direct(D) orIndirect (II)OwnedFollowing(Instr. 4)7. Nature ofBeneficialOwneed(Instr. 4)CommonStock08/12/2009GV10,500D$080,775IBy trust (I)$			- · · · · · · · · · · · · · · · · · · ·					Officer (give title Other (specify				
ALAMEDA, CA 94302Person(City)(State)(Zip)Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transaction(A) or Disposed of Code5. Amount of Securities Beneficially Owned Following (Instr. 4)6. Ownership Form: Direct Indirect (I) Owned Following (Instr. 4)7. Nature of Indirect Beneficial Owned (Instr. 4)Common Stock08/12/2009GV10,500D\$ 080,775IBy trust (1)		-					Applicable Line) _X_ Form filed by One Reporting Person					
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  3.  4. Securities Acquired Transaction(A) or Disposed of Code  5. Amount of Securities  6. Ownership Form: Direct  7. Nature of Indirect    0  (Instr. 3)  (Month/Day/Year)  (Month/Day/Year)  3.  4. Securities Acquired Transaction(A) or Disposed of Code  5. Amount of Securities  6. Ownership Form: Direct  7. Nature of Indirect    (Instr. 3)  (Month/Day/Year)  (Month/Day/Year)  3.  4. Securities Acquired Transaction(A) or Disposed of Code  5. Amount of Securities  6. Ownership Form: Direct  7. Nature of Indirect    (Instr. 3)  (Month/Day/Year)  (Instr. 8)  (Instr. 3, 4 and 5)  Owned Following  Indirect (I)  Ownership (Instr. 4)    (A)  (Instr. 3, 4 and 5)  (Instr. 3, and 4)  (Instr. 4)  Instr. 4)    (Code  V  Amount  (D)  Price  I  By trust (1)    Common  08/12/2009  G  V  10,500  A  \$ 0  49,225  I  By trust (1)	ALAMEDA	, CA 94502							•		1 0	
Security (Instr. 3)  (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  Transaction(A) or Disposed of Code  Securities (D)  Form: Direct Beneficially Owned Following Reported Transaction(s)  Form: Direct (D) or Indirect (I)  Indirect Beneficial Ownership    Common Stock  08/12/2009  6  V  10,500  D  \$ 0  80,775  I  By trust (1)	(City)	(State) (	Zip) 1	able I - No	on-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
Common Stock $08/12/2009$ Code  V  Amount  (D)  Price  (Instr. 3 and 4)    Common $08/12/2009$ G  V $10,500$ D  \$ 0 $80,775$ I  By trust (1)    Common $08/12/2009$ G  V $10,500$ A  \$ 0 $49,225$ I  By trust (1)	Security		Execution Date any	, if Trans Code	Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			l of	Securities Deneficially Owned Deneficially Reported	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
Stock    08/12/2009    G    V    10,500    D    \$ 0    80,775    I    By trust (I)      Common    08/12/2009    G    V    10,500    A    \$ 0    49,225    I    By trust (I)	Common			Code	V	Amount		Price				
(3X/17/7009) (F V 10.500 A S 0 49.775 I By trust (1)		08/12/2009		G	V	10,500	D	\$0	80,775	Ι	By trust $(1)$	
		08/12/2009		G	V	10,500	А	\$0	49,225	Ι	By trust (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Tran: (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Relationships **Reporting Owner Name / Address** 10% Owner Officer Other Director LU HONG LIANG 1275 HARBOR BAY PARKWAY X **SUITE 100** ALAMEDA, CA 94502 Signatures /s/ Devang Shah, as Attorney-in-Fact on behalf of Hong 08/13/2009 Liang Lu

\*\*Signature of Reporting Person

## **Explanation of Responses:**

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On August 12, 2009, the reporting person transferred 10,500 shares of USTI common stock from the Lu Charitable Remainder Trust to The Lu Family Trust of which the reporting person is a trustee and of which the reporting person and his wife are beneficiaries. The

(1) reporting person continues to report beneficial ownership of all the UTSI common stock held by the trust but disclaims beneficial ownership except to the extent of his and his wife's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date