

CERUS CORP

Form 3

October 04, 2006

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Â Greenman William Mariner

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

09/28/2006

3. Issuer Name **and** Ticker or Trading Symbol  
CERUS CORP [CERS]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

President, Cerus Europe

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting Person

C/O CERUS

CORPORATION,Â 2411

STANWELL DRIVE

(Street)

CONCORD,Â CAÂ 94520

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security

(Instr. 4)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

3.

Ownership

Form:

Direct (D)

or Indirect

(I)

(Instr. 5)

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Common Stock

76,026

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

Expiration Date

(Month/Day/Year)

3. Title and Amount of

Securities Underlying

Derivative Security

(Instr. 4)

4.

Conversion

or Exercise

Price of

Derivative

5.

Ownership

Form of

Derivative

Security:

6. Nature of Indirect

Beneficial Ownership

(Instr. 5)

# Edgar Filing: CERUS CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option (Right to Buy)	Â <u>(2)</u>	03/18/2009	Common Stock	10,000	\$ 22.75	D	Â
Option (Right to Buy)	Â <u>(2)</u>	05/10/2009	Common Stock	12,446	\$ 16.25	D	Â
Option (Right to Buy)	Â <u>(2)</u>	05/10/2009	Common Stock	17,554	\$ 16.25	D	Â
Option (Right to Buy)	Â <u>(2)</u>	01/02/2010	Common Stock	3,343	\$ 24.875	D	Â
Option (Right to Buy)	Â <u>(2)</u>	01/02/2010	Common Stock	21,657	\$ 24.875	D	Â
Option (Right to Buy)	Â <u>(2)</u>	03/20/2011	Common Stock	3,529	\$ 38.1875	D	Â
Option (Right to Buy)	Â <u>(2)</u>	03/20/2011	Common Stock	16,471	\$ 38.1875	D	Â
Option (Right to Buy)	Â <u>(2)</u>	03/19/2012	Common Stock	2,751	\$ 50.18	D	Â
Option (Right to Buy)	Â <u>(2)</u>	03/19/2012	Common Stock	24,749	\$ 50.18	D	Â
Option (Right to Buy)	Â <u>(1)</u>	12/19/2012	Common Stock	5,751	\$ 21.06	D	Â
Option (Right to Buy)	Â <u>(1)</u>	02/28/2013	Common Stock	5,750	\$ 6.75	D	Â
Option (Right to Buy)	Â <u>(1)</u>	06/30/2013	Common Stock	5,749	\$ 7.52	D	Â
Option (Right to Buy)	Â <u>(1)</u>	11/13/2013	Common Stock	17,250	\$ 4.25	D	Â
Option (Right to Buy)	Â <u>(2)</u>	03/30/2014	Common Stock	50	\$ 3.52	D	Â
Option (Right to Buy)	Â <u>(1)</u>	06/28/2014	Common Stock	100,000	\$ 2.28	D	Â
Option (Right to Buy)	Â <u>(1)</u>	10/02/2015	Common Stock	65,000	\$ 8.86	D	Â
Option (Right to Buy)	Â <u>(2)</u>	01/02/2016	Common Stock	75	\$ 10.15	D	Â

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

## Edgar Filing: CERUS CORP - Form 3

Director   10% Owner   Officer   Other

Greenman William Mariner  
C/O CERUS CORPORATION  
2411 STANWELL DRIVE  
CONCORD, CA 94520

^   ^   ^ President, Cerus Europe   ^

## Signatures

/s/ William M.  
Greenman                      10/04/2006

\_\_Signature of Reporting                      Date  
Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One eighth (1/8th) of the shares subject to the Option shall vest six (6) months after the transaction date, and one forty-eighth (1/48th) of the shares subject to the Option shall vest on the first day of each month thereafter.
- (2) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.