#### OGILVIE SCOTT VARDE

Form 4 July 18, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \*

OGILVIE SCOTT VARDE

(First) (Middle)

20271 GOLDENROD LANE, 2ND

**FLOOR** 

(State)

(Street)

2. Issuer Name and Ticker or Trading Symbol

Neuralstem, Inc. [CUR]

3. Date of Earliest Transaction

(Month/Day/Year) 07/14/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

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**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

X\_ Director 10% Owner Other (specify Officer (give title

below)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

GERMANTOWN, MD 20876

1.Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year) (Instr. 3)

(Zip)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Owned Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

or Exercise

Security

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securitie (Instr. 3 and 4)

### Edgar Filing: OGILVIE SCOTT VARDE - Form 4

| (Instr. 3)                                | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | 8) | Acquired or Dispose (D) (Instr. 3, 4 and 5) | ed of |                  |                    |                 |                                 |
|---|------------------------------------|------------|------------------|---------|----|---|-------|------------------|--------------------|-----------------|---------------------------------|
|   |                                    |            |                  | Code    | V  | (A)   | (D)   | Date Exercisable | Expiration<br>Date | Title           | Amour<br>or<br>Numbe<br>of Shar |
| Common<br>Stock<br>Purchase<br>Option (1) | \$ 5.37                            | 07/14/2017 |                  | A       |    | 13,574                                      |       | 07/14/2017(1)    | 07/14/2027         | Common<br>Stock | 13,57                           |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

OGILVIE SCOTT VARDE
20271 GOLDENROD LANE, 2ND FLOOR X
GERMANTOWN, MD 20876

### **Signatures**

/s/ Raul Silvestre, Attorney-in-Fact for Scott
Ogilvie
07/18/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 50% of compensatory Annual Grant for director services of \$100,000. The options vest quarterly on 9/30/17, 12/31/17, 3/31/18, and 6/30/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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