

NEWMONT MINING CORP /DE/
Form 4
April 14, 2003

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response. . .0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By
Romeo and Dye's
Section 16 Filer
www.section16.net

| | | | | | | | | | | | |
|---|---------|----------|--|--|--|---|--|--|--|--|--|
| 1. Name and Address of Reporting Person* Lassonde, Pierre | | | 2. Issuer Name and Ticker or Trading Symbol Newmont Mining Corporation NEM | | | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) | (First) | (Middle) | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | | | 4. Statement for Month/Day/Year 4/11/03 | | | <input checked="" type="checkbox"/> Director — 10% Owner — <input checked="" type="checkbox"/> Officer (give title below) — Other (specify below) | | |
| 1700 Lincoln Street | | | | | | | | | 5. If Amendment, Date of Original (Month/Day/Year) | | |
| (Street) | | | | | | | | | | | |
| Denver, Co 80203 | | | | | | | | | | | |

| (City) | | | (State) | | | (Zip) | | | Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-------|--|--|-----------------------------------|--|--|--|--|--|--|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | | | | | |
| | | | Code | V | Amount | (A) or (D) | Price | | | | | | | | | |
| | | | | | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 & 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported | 10. Ownership Form of Derivative Security: | 11. Nature of Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|--|------------------------------------|
| | | | | | | | | | | | |

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

| | Year) | Year) | of (D) | | Date Exer-cisable | Expira-tion Date | Title | Amount or Number of Shares | Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | | |
|------------------------------------|--------|-------|-------------------|------------------|-------------------|------------------|-------|----------------------------|---------------------------|---------------------------------------|---|----------------------|
| | | | (Instr. 3, 4 & 5) | Code V | | | | | | | | (A) |
| Exchangeable Shares ⁽¹⁾ | \$0.00 | | | G ⁽³⁾ | 16,500 | 2/16/02 | (1) | Common Stock | | 1,188,325 | I | By Fire Investm Ltd. |
| Exchangeable Shares ⁽¹⁾ | \$0.00 | | | | | 2/16/02 | (1) | | | 1,549,631 | I | By 200 Ontari |
| Exchangeable Shares ⁽¹⁾ | \$0.00 | | | | | 2/16/02 | (1) | | | 56,032 | I | By Las Family Trust |
| Exchangeable Shares ⁽¹⁾ | \$0.00 | | | | | 2/16/02 | (1) | | | 80,736 | I | By 200 Ontari |
| Exchangeable Shares ⁽¹⁾ | \$0.00 | | | | | 2/16/02 | (1) | | | 18,501 | D | |

Explanation of Responses:

(1) The Exchangeable Shares are shares of stock of Newmont Mining Corporation of Canada Limited (formerly 4011686 Canada Inc.), a corporation existing under the laws of Canada and wholly-owned subsidiary of the Issuer, that are convertible into common stock of the Issuer on a one-for-one basis at the option of the holder at any time after February 16, 2002, pursuant to the terms set forth in the Provisions Attaching to the Exchangeable Shares (the "Provisions") set forth as Appendix 1 to Schedule B of that certain Arrangement Agreement, dated November 14, 2001, between Franco-Nevada Mining Corporation Limited and Newmont Gold Company (formerly known as Newmont Mining Corporation). Pursuant to that certain Voting and Exchange Trust Agreement, between the Issuer, Newmont Mining Corporation of Canada Limited (formerly 4011686 Canada Inc.) and Computershare Trust Company of Canada (the "Trustee"), holders of Exchangeable Shares are entitled to direct the Trustee to cast the votes attaching to the shares of the Special Voting Stock of the Issuer held by the Trustee, on all matters submitted to the stockholders of the issuer. The Exchangeable Shares remain exchangeable at the option of the holder until redeemed by the Issuer pursuant to, and subject to the conditions of, the Provisions.

(2) Pierre Lassonde has executed a power of attorney, a copy of which has been previously filed, that authorizes Ardis Young to sign this Form 4 on his behalf.

(3) Gift by Reporting Person to Ecole Polytechnique University in Toronto, Canada.

By: /s/ **Ardis Young, Attorney in Fact for Pierre Lassonde**⁽²⁾

April 14, 2003
Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.