SUN LIFE FINANCIAL INC Form 144 March 04, 2014

**UNITED STATES** OMB APPROVAL SECURITIES AND EXCHANGE COMMISSION OMB Number: 3235-0101 Washington, D.C. 20549 Expires: March 31, 2014 **FORM 144** Estimated average burden hours per response......1.00 NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 SEC USE ONLY ATTENTION: Transmit for filing 3 copies of this form concurrently with either DOCUMENT SEQUENCE NO. placing an order with a broker to execute sale or executing a sale directly with a market maker. **CUSIP NUMBER** 1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. (c) S.E.C. WORK LOCATION NO. FILE NO. Sun Life Financial Inc. Not Applicable 001-15014 1 (d) ADDRESS OF (e) TELEPHONE NO. **ISSUER STREET CITY** STATE ZIP CODE AREA CODE NUMBER 150 King Street, 6th Floor, Toronto Ontario Canada M5H 1J9 416 979-4800 2 (a) NAME OF PERSON (b) (c) ADDRESS **CITY** FOR WHOSE ACCOUNT RELATIONSHIP STREET **STATE** THE SECURITIES TO ISSUER CODE ARE TO BE SOLD Officer Westley V. Thompson c/o Sun Life Financial, One Sun Life Executive Park, Wellesley Hills, MA 02481 INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number. SEC USE (c) (d) (f) 3 (a) (b) (e) (g) **ONLY** Name and Address of Each Broker Number of Through Whom the Shares Number of Name of Securities are to be Broker-Dealer or Other Shares Each Aggregate Offered or Each Title of the File Number Units Market or Other Units Securities **Approximate** Class of Market Maker To Be Sold Value Outstanding Date of Sale Exchange Securities who is Acquiring the (See instr. (See instr. (See instr. (See instr. (See instr. 3(f)) To Be Sold Securities (MO. DAY YR.) 3(c)) 3(d)3(e)) 3(g)609,727,130 Common Canaccord Genuity, 70,876 03-03-2014 **TSX** 2,697,851

> 99 High Street, 12th Floor

Inc.

Shares

Boston, MA 02110

#### **INSTRUCTIONS:**

- 1. (a) Name of issuer
  - (b) Issuer's I.R.S. Identification Number
  - (c) Issuer's S.E.C. file number, if any
  - (d) Issuer's address, including zip code
- (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
- (b) Such person's relationship to the issuer (e.g., officer, director, 10%

stockholder, or member of immediate family of any of the foregoing)

(c) Such person's address, including zip code

- 3. (a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

### TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Name of Person

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	from Whom Acquired (If gift, also give	Amount of Securities Acquired	Date of Payment	Nature of Payment
			date donor acquired)			
Common		Stock Option Exercise	Sun Life Financial	70,876	03-03-2014	Cash
Shares			Inc.			

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Date of	Amount of	
	Name and Address of Seller	Title of Securities Sold	Sale	Securities Sold	Gross Proceeds
T					

None

**REMARKS**:

INSTRUCTIONS: ATTENTION:

See the definition of "person" in paragraph (a) of Rule 14The person for whose account the securities to which Information is to be given not only as to the person for whosethis notice relates are to be sold hereby represents by account the securities are to be sold but also as to all othersigning this notice that he does not know any material persons included in that definition. In addition, informationadverse information in regard to the current and shall be given as to sales by all persons whose sales are prospective operations of the Issuer of the securities to required by paragraph (e) of Rule 144 to be aggregated withbe sold which has not been publicly disclosed. If such sales for the account of the person filing this notice.

trading instructions to satisfy Rule 10b-5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

03-03-2014

/s/ Westley V.

DATE OF NOTICE

Thompson (SIGNATURE)

The notice shall be signed by the person for whose account the DATE OF PLAN OR GIVING OF securities are to be sold. At least one copy of the notice shall be INSTRUCTION, manually signed. Any copies not manually signed shall bear IF RELYING ON RULE 10B5-1 typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)