Caughey Thomas Form 4 November 30, 2018

FORM 4

if no longer

subject to

Section 16.

Form 4 or

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per 0.5 response...

OMB APPROVAL

3235-0287

January 31,

2005

OMB

Number:

Expires:

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

| 1. Name and Address of Reporting Person * Caughey Thomas | | ing Person * | 2. Issuer Name and Ticker or Trading Symbol Inrad Optics, Inc. [INRD] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|---------|--------------|---|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (спеск ан аррпсаве) | | | |
| | | | (Month/Day/Year) | Director 10% Owner | | | |
| 105 LAROCHE AVE | | | 11/28/2018 | _X_ Officer (give title Other (spective) below) VP PRODUCT DEVELOPMEN | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| HARRINGTON PARK, NJ 07640 | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |

| (City) | (State) | Zip) Tabl | e I - Non-D | erivative S | Securi | ties Acq | uired, Disposed o | of, or Beneficial | ly Owned |
|--------------------------------------|---|---|---|---|-----------|------------|---|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 11/28/2018 | 11/30/2018 | I | 12,000 | D | \$ 0.83 | 38,461 | I | By 401(k) Plan |
| Common Stock | 11/28/2018 | 11/30/2018 | I | 9,660 | D | \$ 0.85 | 28,801 | I | By 401(k) Plan |
| Common Stock | 11/28/2018 | 11/30/2018 | I | 10,000 | D | \$ 0.82 | 18,801 | I | By 401(k) Plan |
| Common Stock | 11/28/2018 | 11/30/2018 | I | 10,000 | D | \$ 0.82 | 8,801 | I | By 401(k) Plan |
| Common Stock | 11/28/2018 | 11/30/2018 | I | 5,300 | D | \$ 0.81 | 3,501 | I | By 401(k) Plan |

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Common Stock 11/28/2018 11/30/2018 I 3,500 D \$ 0.9 1 I By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | TransactionNumber | | (Month/Day/Year) /e s l | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|--------------------------------------|---|--------|-------------------|---------------------|----------------------------------|-------|---|--------------------------------------|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Caughey Thomas 105 LAROCHE AVE HARRINGTON PARK, NJ 07640

VP PRODUCT DEVELOPMENT

Signatures

/s/ William J. Foote, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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