### Edgar Filing: Wu Zhanming - Form 4

Wu Zhanmin	g											
Form 4												
October 23, 2	2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287				
Check thi	s box		vv as	inington,	D.C. 205	+9				January 31,		
if no longer which to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						Expires:	2005					
subject to Section 1	•			SECUR			0.01		Estimated average			
Form 4 or				SECONTES					burden hours per response 0.5			
Form 5	Filed p	oursuant to S	Section 1	5(a) of the	e Securitie	es Exc	change	e Act of 1934,		010		
obligatior may conti				•	<b>e</b> 1			1935 or Section	ı			
See Instru		30(h)	of the In	vestment	Company	Act of	of 194	0				
1(b).												
(Print or Type R	(esponses)											
(I find of Type I	(esponses)											
1. Name and Address of Reporting Person <u>*</u> Wu Zhanming			2. Issuer Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to					
			Symbol	-					Issuer			
			One Horizon Group, Inc. [OHGI]				]	(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			(Chech	k an applicable	)		
			(Month/D	ay/Year)				Director	_X_ 10%			
	AO ASSET M		10/15/20	10/15/2018				Officer (give title Other (specify below) below)				
	I) CO, LTD, I								001011)			
	LANE 868, PU	JMING										
ROAD												
			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check					
			Filed(Mon	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
SHANGHA	I, F4 200120							Form filed by M				
	1,1 1 200120							Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Se	ecuriti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction D	Date 2A. Dee	med	3.	4. Securitie	es Acq	uired	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Yea		on Date, if						Ownership	Indirect		
(Instr. 3)		any (Month/	Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)					Beneficially Owned	(D) or	irect Beneficial Ownership		
		(		(				Following	Indirect (I)	(Instr. 4)		
						(A)		Reported	(Instr. 4)			
						or		Transaction(s) (Instr. 3 and 4)				
Comment				Code V	Amount	(D)	Price	(				
Common Stock	10/15/2018(1)	(2)		J	354,409 (1) (2)	А	$\frac{(1)}{(2)}$	15,354,409	D			
STOCK					<u> </u>		_					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. oriNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class C Warrant (right to buy)	\$ 18					12/22/2014	12/21/2018	Common Stock	64,815	
Class D Warrant (right to buy)	\$ 21					12/22/2014	12/21/2018	Common Stock	64,815	

# **Reporting Owners**

Reporting Owner Name / Address			Relationships				
		Director	10% Owner	Officer	Other		
Wu Zhanming C/O DACHAO ASSET MGMT (SHANGHAI) CO, LTD ROOM 703, NO.5, LANE 868, PUMING ROAD SHANGHAI, F4 200120			Х				
Signatures							
/s/ Zhanming Wu	10/23/2018						
**Signature of	Date						

# Reporting Person

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On or about October 15, 2018, pursuant to the terms of a Settlement Agreement dated as of October 15, 2018, by and among the Reporting Person, the Issuer, Mark White, Martin Ward, Richard Vos, Nicholas Carpinello, and Robert Law (the "Settlement (1) Agreement"), the Issuer issued 354,409 shares of the Issuer's common stock to the Reporting Person. The number of shares of common stock is the Reporting Person.

- stock issued to the Reporting Person was determined by dividing \$100,000 by the average of the closing prices of the Issuer's shares of Common Stock on The NASDAQ Stock Market during the five consecutive trading days preceding entry into the Settlement Agreement, which average was \$0.28216.
- (2) In the Settlement Agreement, the Issuer agreed to reimburse the Reporting Person \$100,000 in the form of shares of common stock in lieu of cash, which amount reflects a portion of the legal expenses incurred by the Reporting Person in prosecuting two actions against the

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Issuer and its directors in the Delaware Court of Chancery, which were the subject of the Settlement Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.