Edgar Filing: HART WILLIAM B - Form 4

HART WILL	JAM B										
Form 4											
May 10, 2018	3										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL		
	UNITED		URITIES A Vashington,			NGE	COMMISSION	OMB Number:	3235-0287 January 31,		
Check this	or.							Expires:			
if no long subject to			CIA	LOW	NERSHIP OF	Estimated a	2005 average				
Section 16		SECUR	ITIES				burden hours per				
Form 4 or Form 5		a .		~	-			response 0.5			
obligation	· ·	rsuant to Section					-				
may conti			•	•	- ·		of 1935 or Sectio	n			
<i>See</i> Instru 1(b).	ction	30(n) of the	Investment	Compan	y Act	OI 19	40				
(Print or Type R	esponses)										
HART WILLIAM B Symbol						g	5. Relationship of Reporting Person(s) to Issuer				
	arce Capital Corp. [RSO]				(Check all applicable)						
(Last)	(First) (e of Earliest Tr	ansaction							
712 5711 43	h/Day/Year)				_X_ Director 10% Owner Officer (give title Other (specify						
/12 31H AV	ENUE, 12TH F	LOOK 05/09	0/2018				below)	below)	er (speeny		
			If Amendment, Date Original iled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
NEW YORK	K, NY 10019						Person		cporting		
(City)	(State)	(Zip) T	able I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of	2. Transaction Da		3.	4. Securi				6. Ownership			
Security (Instr. 3)	(Month/Day/Year) Execution Date, any		TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)			Securities Beneficially	(D) or	Indirect Beneficial Ownership		
(1130.5)		(Month/Day/Ye					•				
							Following	(Instr. 4)	(Instr. 4)		
					(A)		Reported Transaction(s)				
~			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	05/09/2018		Р	5,000	А	<u>(1)</u>	97,135 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. tionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year) ve ss i i		Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (` ´	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HART WILLIAM B 712 5TH AVENUE, 12TH FLOOR NEW YORK, NY 10019	Х						
Signatures							
Shelle Weisbaum, Attorney-in-Fact	05/10						
**Signature of Reporting Person	Da	te					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On May 9, 2018, the reporting person purchased, in multiple transactions, a total of 5,000 shares of common stock of Resource Capital Corp. at prices ranging from \$9.81 to \$9.8699.
- (2) 3,804 shares remain subject to vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.