

Edgar Filing: Cleco Corporate Holdings LLC - Form 4

Cleco Corporate Holdings LLC
Form 4
April 15, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Olagues Darren J

2. Issuer Name **and** Ticker or Trading
Symbol
Cleco Corporate Holdings LLC
[CNL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 5000
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
04/05/2016

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
President - Cleco Power

PINEVILLE, LA 71361-5000

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1 par	04/05/2016		G ⁽¹⁾	7,225	D ⁽²⁾	52,333.6753 ⁽³⁾	D
Common Stock, \$1 par	04/13/2016		D ⁽⁴⁾	4,628	D ⁽²⁾	47,739.1866	D
Common Stock, \$1 par	04/13/2016		D ⁽⁵⁾	47,739.1866	D \$ 55.37	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Olagues Darren J P.O. BOX 5000 PINEVILLE, LA 71361-5000	President - Cleco Power

Signatures

Julia E. Callis, Attorney-in-Fact for Darren J. Olagues 04/15/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares gifted by the reporting person to a charitable foundation.
- (2) No value was exchanged for these shares.
- (3) Total includes 305.0372 shares acquired through contributions and/or reinvestment of dividends under the Company's 401(k) plan during the period beginning 01/30/2016 through 04/13/2016.
- (4) Forfeiture of shares granted under the Company's 2010 Long-Term Incentive Compensation Plan pursuant to the terms of a merger completed on 04/13/2016.
- (5) Disposition of all shares pursuant to the terms of a merger completed on 04/13/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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