

MALVEY KENNETH P

Form 5

January 13, 2012

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
MALVEY KENNETH P

(Last) (First) (Middle)

11550 ASH STREET, SUITE 300

(Street)

2. Issuer Name and Ticker or Trading  
SymbolTORTOISE CAPITAL  
RESOURCES CORP [TTO]3. Statement of Issuer's Fiscal Year Ended  
(Month/Day/Year)  
11/30/20114. If Amendment, Date Original  
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Senior VP

6. Individual or Joint/Group Reporting

(check applicable line)

LEAWOOD, KS 66211

(City) (State) (Zip)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	12/01/2010	Â	L	Amount 21.031 (1) (A) or (D) Price \$ 7.33 A	6,750.198 (2)	D	Â
Common Shares	03/02/2011	Â	L	18.413 (1) (A) 8.49 A	6,750.198 (2)	D	Â
Common Shares	06/02/2011	Â	L	18.497 (1) (A) 8.55 A	6,750.198 (2)	D	Â
Common Shares	09/02/2011	Â	L	19.578 (1) (A) 8.17 A	6,750.198 (2)	D	Â

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Common Shares	Â	Â	Â	Â	Â	Â	1,500	I	By spouse
Common Shares	Â	Â	Â	Â	Â	Â	100	I	By self as custodian of child's account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MALVEY KENNETH P 11550 ASH STREET SUITE 300 LEAWOOD,Â KSÂ 66211	Â Â Â Senior VP Â

## Signatures

Kenneth P.  
Malvey  
01/10/2012  
Date

\*\*Signature of  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not participating in the Tortoise Capital Resources Corporation dividend reinvestment plan. This transaction is being reported on Form 5 pursuant to Rule 16a-6.

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(2) Includes 51,307 shares acquired under the Tortoise Capital Resources Corporation dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.