# Edgar Filing: HALL JEFFREY W - Form 144

HALL JEFFREY W Form 144 August 31, 2011

August 31, 2011							
UNITED STATES						OMB APPROVAL	
SECURITIES AND EXCHANGE COMMISSION					OMB Number:	3235-0101	
Washington, D.C. 20549					Expires:	February 28, 2014	
						Estimated burden	l average
FORM 144					hours per response	1.00	
NOTICE OF	F PROPOSED SAI		URITIES			SEC USE ONLY	
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933					DOCUMENT SEQUENCE NO.		
ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an					CUSIP NUMBER		
order with a broker to execute sale	or executing a sale	e directly wi	th a market	maker.			
1 (a) NAME OF ISSUER (Please type or print)		(b) IRS IDENT. N	* *		WORK LOCATION		
CLECO CORP		72-14452	282 I-56	63			
1 (d) ADDRESS STREET OF ISSUER		CITY	STA	TE ZIP	CODE	(e) TELE NO	PHONE
P.O. Box 5000	)	Pineville	LA	7130	61-5000	318/484-	7400
2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD	(b) RELATIONSHIP TO ISSUER	` '	RESS STRE	EET CIT	Y	STATE	ZIP CODE
HALL JEFFREY W	Officer	P.O. Box	5000	Pine	ville	LA	71361-5000
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.							
3 (a) (b)	SEC USE (ONLY	(c)	(d)	(e)	(f)	(§	<u>g</u> )
Title of the	]	Number of Shares	Aggregate	Number of Shares		oximate	Name of Each
Class of Name and Address of Each Broker Through Whom the		or Other Units	Market	or Other Units	Date	of Sale	Securities

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Securities To Be Sold	Securities are to be Offered or Each Market Maker	File Number	To Be Sold	Value	Outstanding	(See instr. 3(f))	Exchange
	who is Acquiring the Securities		(See instr. 3(c))	(See instr. 3(d))	(See instr. 3(e))	(MO. DAY YR.)	(See instr. 3(g))
Common Stock, \$1 par	Morgan Stanley Smith Barney LLC Executive Financial Services 485 Lexington Avenue, 11th Floor New York, NY 10017		4,600	\$162,702 (1)	61,062,449 ( <u>2</u> )	8/31/2011	NYSE
INSTRUCT			2 ( ) 55'4	C.1 1	c :.:	. 1 11	
1. (a)	(a) Name of issuer 3. (a) Title of the class of securities to be sold						

- (b) Issuer's I.R.S. **Identification Number**
- (c) Issuer's S.E.C. file number, if any
- (d) Issuer's address, including zip code
- Issuer's telephone number, (e) including area code
- 2. Name of person for whose (a) account the securities are to be sold
  - Such person's relationship (b) to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - Such person's address, (c) including zip code

- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

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### TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

			Name of Person from			
			Whom Acquired	Amount of		
Title of	Date you	Nature of Acquisition	(If gift, also give date	Securities	Date of	Nature of
the Class	Acquired	Transaction	donor acquired)	Acquired	Payment	Payment
Common Stock, \$1 par	8/31/2011 (3)	Exercise of non-qualified employee stock options (4)	Issuer	4,600	9/6/2011 (5)	Check

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

		Date of	Securities	
Name and Address of Seller	Title of Securities Sold	Sale	Sold	Gross Proceeds

# **EXPLANATION OF RESPONSES:**

- 1. Based on Cleco Corporation (CNL) common stock closing price on 08/29/2011 (\$35.37).
- 2. As reported in Form 10-Q filed 08/03/2011; outstanding as of 07/29/2011.
- 3. Approximate date of exercise.
- 4. Plan is S-8 registered.
- 5. Approximate date of settlement.

# **REMARKS:**

### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

August 31, 2011 DATE OF NOTICE

ATTENTION: Intentional

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION. IF **RELYING ON RULE 10B5-1** 

SEC 1147 (02-08)

misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)

### ATTENTION:

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ Jeffrey W. Hall

(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.