#### Edgar Filing: RUOCCO ROBERT C - Form 3

#### RUOCCO ROBERT C

Form 3

August 16, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB** 

response...

3235-0104

Number: Expires:

January 31, 2005

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**SECURITIES** 

Estimated average burden hours per

**OMB APPROVAL** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À RUOCCO ROBERT C

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/10/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

XERIUM TECHNOLOGIES INC [XRM]

4. Relationship of Reporting Person(s) to Issuer

Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O CARL MARKS

MANAGEMENT COMPANY, LLC. 900 THIRD AVENUE.

33RD FLOOR

(Street)

(State)

(Check all applicable)

\_X\_ 10% Owner

Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

**NEW** YORK. NYÂ 10022-4775

1. Title of Security (Instr. 4)

(City)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect

(Instr. 5)

See footnotes (1) (2) (3)Ι Common Stock,par value \$0.001 per share 1,520,830

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise

Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

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Derivative (Instr. 4) Price of Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Number of or Indirect Shares (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

RUOCCO ROBERT C

C/O CARL MARKS MANAGEMENT COMPANY, LLC
900 THIRD AVENUE, 33RD FLOOR

NEW YORK, NYÂ 10022-4775

## **Signatures**

/s/ Robert C. 08/16/2011 Ruocco

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

limited liability company and registered investment adviser, which is the investment adviser to (i) Carl Marks Strategic Investments, L.P.

("CMSI"), a Delaware limited partnership and private investment partnership, and (ii) Carl Marks Strategic Opportunities Fund, L.P.

("CMSO"), a Delaware limited partnership and private investment partnership. CMSI GP, LLC ("CMSI GP"), a Delaware limited liability

The Reporting Person is one of three individual managing members of Carl Marks Management Company, LLC ("CMMC"), a Delaware

- ("CMSO"), a Delaware limited partnership and private investment partnership. CMSI GP, LLC ("CMSI GP"), a Delaware limited liability company, is the general partner of CMSI, and Carl Marks GP, LLC ("CMSO GP"), a Delaware limited liability company, is the general partner of CMSO.
  - As of August 10, 2011, CMSI and CMSO were owners of, in the aggregate, 1,520,830 shares of Common Stock (476,408 of such shares are held by CMSI and 1,044,422 of such shares are held by CMSO), which may be deemed to be beneficially owned (i) indirectly by CMMC, as the investment adviser to CMSI and CMSO; (ii) indirectly by CMSI GP, as the general partner of CMSI, and CMSO GP, as
- (2) the general partner of CMSO, respectively; and (iii) indirectly, on a shared basis, by the Reporting Person and the two other individual managing members of CMMC, the investment adviser to CMSI and CMSO, who share the power to direct the vote or disposition of such securities. CMMC and the three individual managing members of CMMC have filed statements pursuant to Sections 13 and 16 of the Securities Exchange Act of 1934, as amended, with respect to the securities of the Issuer that they may be deemed to beneficially own.
- (3) The Reporting Person's indirect interest in the securities reported herein (if any) is limited to his pecuniary interest in CMSI and CMSO (if any).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2