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MCDERMOTT CHARLES J

Form 3

October 19, 2010

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

ELLIS ALEXANDER III

(Last)

(First)

Statement

(Month/Day/Year)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CLEAN DIESEL TECHNOLOGIES INC [CDTI]

10/15/2010 (Middle)

4. Relationship of Reporting Person(s) to Issuer

X Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O ROCKPORT CAPITAL PARTNERS, LP, 160 FEDERAL STREET, 18TH **FLOOR**

(Street)

(Check all applicable)

X 10% Owner

Other (give title below) (specify below)

BOSTON, MAÂ 02110

6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

1. Title of Security

(Instr. 4)

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

4. Nature of Indirect Beneficial

Ownership

(Instr. 5) Form: Direct (D)

or Indirect (Instr. 5)

No securities beneficially owned

0

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

Conversion or Exercise

Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

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		(Instr. 4)		Price of	Derivative
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
ELLIS ALEXANDER III C/O ROCKPORT CAPITAL PARTNERS, LP 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110		10% Owner X		Other Â
RockPort Capital Partners, L.P. 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂΧ	ÂX	Â	Â
ROCKPORT CAPITAL I LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â
RP Co-Investment Fund I, L.P. 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â
RP Co-Investment Fund I GP, LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂΧ	ÂX	Â	Â
James Janet Burrows 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â
JAMES WILLIAM E 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â
MCDERMOTT CHARLES J 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â
Prend David J 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â
Wilson Stoddard Moran 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	ÂX	ÂX	Â	Â

Reporting Owners 2

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Signatures

ALEXANDER ELLIS III, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
ROCKPORT CAPITAL PARTNERS, LP, By: Rockport Capital I, LLC, General Partner, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
RP CO-INVESTMENT FUND I, L.P., By: RP Co-Investment Fund I GP, LLC, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
ROCKPORT CAPITAL I, LLC, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
RP CO-INVESTMENT FUND I GP, LLC, By: /s/ Tom Scott, Attorney-in-Fact	10/19/2010	
**Signature of Reporting Person	Date	
JANET B. JAMES, By: /s/ Tom Scott, Attorney-in-Fact	10/19/2010	
**Signature of Reporting Person	Date	
WILLIAM E. JAMES, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
CHARLES J. MCDERMOTT, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
DAVID J. PREND, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	
STODDARD M. WILSON, By: /s/ Tom Scott, Attorney-in-Fact		
**Signature of Reporting Person	Date	

Explanation of Responses:

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3

^{*} If the form is filed by more than one reporting person, see Instruction 5(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).