### Edgar Filing: TORTOISE CAPITAL RESOURCES CORP - Form 3

#### TORTOISE CAPITAL RESOURCES CORP

Form 3

October 19, 2009

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TORTOISE CAPITAL RESOURCES CORP [TTO] MARINER HOLDINGS, LLC (Month/Day/Year) 09/15/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 4200 W. 115TH STREET, (Check all applicable) **SUITE 100** (Street) 6. Individual or Joint/Group 10% Owner Director Officer \_X\_ Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting Affiliate of Inv Advisor Person LEAWOOD, KSÂ 66211 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Shares 0 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

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Date	Expiration	Amount or	or Indirect
Exercisable	Date	Number of	(I)
		Shares	(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address			Relationships	
r		10% Owner	Officer	Other
MARINER HOLDINGS, LLC 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
POWER GROUP RISK SERVICES, LLC 12721 METCALF AVE., SUITE 103 OVERLAND PARK, KS 66213	Â	Â	Â	Affiliate of Inv Advisor
ADAMS-GABBERT & ASSOCIATES, LLC 400 SW LONGVIEW BLVD., SUITE 200 LEE'S SUMMIT, MO 64081	Â	Â	Â	Affiliate of Inv Advisor
BF 161 ELECTRIC, LLC 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
BF WYANDOTTE DEVELOPMENT, LLC 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
RANCHO SIENNA KC, LP 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
TRINITY BAKERSFIELD DEVELOPMENT, LLC 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
Bicknell Family Finance Co 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
SANTA RITA KC, LLC 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
Bicknell Family Management CO Trust 4200 W. 115TH STREET, SUITE 100 LEAWOOD, KS 66211	Â	Â	Â	Affiliate of Inv Advisor
Signatures				
/s/ S. Kirk Lambright, on behalf of Rancho Sienna KCLLC	KC,	10/19/2009		
**Signature of Reporting Person		Date		
/s/ Martin C. Bicknell, on behalf of all other reporting		10/19/2009		

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

 $A\hat{A} \ form \hat{A} \ 3\hat{A} \ is \hat{A} \ limited \hat{A} \ to \hat{A} \ a\hat{A} \ maximum \hat{A} \ of \hat{A} \ ten \hat{A} \ reporting \hat{A} \ persons. \hat{A} \ \hat{A} \ As \hat{A} \ a\hat{A} \ result, \hat{A} \ this \hat{A} \ Form \hat{A} \ 3\hat{A} \ is \hat{A} \ one of his persons \hat{A} \ according to the persons \hat{A} \ acco$ 

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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