

PRUDENTIAL FINANCIAL INC
Form 3
November 21, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
PRUDENTIAL FINANCIAL INC			(Month/Day/Year)	ClearBridge Energy Midstream Opportunity Fund Inc. [EMO]	
(Last)	(First)	(Middle)	11/16/2018		
751 BROAD ST			4. Relationship of Reporting Person(s) to Issuer		
(Street)			(Check all applicable)		
NEWARK, NJ 07102			<input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)		
(City)	(State)	(Zip)	5. If Amendment, Date Original Filed(Month/Day/Year)		
			6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Series F Mandatory Redeemable Preferred Stock	41 ⁽¹⁾	I	By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person
Series G Mandatory Redeemable Preferred Stock	24 ⁽¹⁾	I ⁽²⁾	By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person
Series G Mandatory Redeemable Preferred Stock	85 ⁽¹⁾	I ⁽³⁾	By Prudential Legacy Insurance Company of New Jersey, a wholly-owned subsidiary of the Reporting Per

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PRUDENTIAL FINANCIAL INC 751 BROAD ST NEWARK, NJ 07102	^	^ X	^	^
PRUDENTIAL LEGACY INSURANCE CO OF NEW JERSEY 751 BROAD STREET NEWARK, NJ 07102	^	^ X	^	^

Signatures

Prudential Financial, Inc. By: /s/Hillary Lorenzo, Vice President	11/21/2018
**Signature of Reporting Person	Date
Prudential Legacy Insurance Company of New Jersey By: PGIM, Inc., as Investment Manager By: /s/Christopher Halloran, Vice President	11/21/2018
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) (1) Share exchange/replacement by EMO due to ClearBridge American Energy MLP Fund Inc. (ticker: CBA)'s merger into EMO on 11/16/2018.
- (2) (2) As grantor of the PICA Hartford Life & Annuity Comfort Trust.
- (3) (3) Preferred stock owned directly by Prudential Legacy Insurance Company of New Jersey, a ten percent owner of a class, and indirectly owned by Prudential Financial, Inc., its parent holding company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.