Edgar Filing: ROCKWELL COLLINS INC - Form 4

ROCKWELL COLLINS INC Form 4				
April 02, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COM Washington, D.C. 20549	OMB APPROVAL IMISSION OMB Number: 3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNER SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act Section 17(a) of the Public Utility Holding Company Act of 193 30(h) of the Investment Company Act of 1940	RSHIP OF Expires: 2005 Estimated average burden hours per response 0.5			
(Print or Type Responses)				
	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. Date of Earliest Transaction	(Check all applicable) Director 10% Owner Officer (give title Other (specify w) below)			
Filed(Month/Day/Year) App _X_	ndividual or Joint/Group Filing(Check licable Line) Form filed by One Reporting Person Form filed by More than One Reporting			
CEDAR RAPIDS, IA 52498 Pers	on			
1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. A (Instr. 3) (Month/Day/Year) Execution Date, if any Transaction(A) or Disposed of (D) Securities (Instr. 3) (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 and 5) Ber (Month/Day/Year) (Instr. 8) Ow Fol (A) Transaction(A) Transaction(A) Transaction(A)	d, Disposed of, or Beneficially OwnedAmount of curities6. Ownership Form: Direct7. Nature of Indirectneficially (D) or(D) or Beneficial Indirect (I)Beneficial Ownershipllowing ported unsaction(s)(Instr. 4)(Instr. 4)			
2	,738.453 D			
Common 12 Stock (3)	,319.471 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: ROCKWELL COLLINS INC - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
reporting officer tame / rear cos	Director	10% Owner	Officer	Other				
DAVIS CHRIS A 400 COLLINS ROAD NE M/S 124-323 CEDAR RAPIDS, IA 52498	Х							
Signatures								
Vaughn M. Klopfenstein, Attorney-in-Fact	04/01/2014							
**Signature of Reporting Person		D	ate					
Explanation of Responses:								
• If the form is filed by more then	-	~	atmation	$1(\mathbf{h})(\mathbf{r})$				

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units awarded in lieu of cash director fees pursuant to the Company's 2006 Long-Term Incentive Plan. Shares of common stock underlying restricted stock units will be issued promptly after departure from the Board of Directors.
- (2) Includes dividend equivalents issued quarterly on the restricted stock units.
- (3) Includes 6,413 restricted shares delivered as compensation for services as Director. The restricted shares are held by issuer to implement restrictions on transfer unless and until certain conditions are met. Also includes shares acquired under a dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.