

ROCKWELL COLLINS INC
Form 4
July 25, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHADICK GARY R

(Last) (First) (Middle)

M/S 124-323, 400 COLLINS ROAD
NE

(Street)

CEDAR RAPIDS, IA 52498-0001

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ROCKWELL COLLINS INC [COL]

3. Date of Earliest Transaction (Month/Day/Year)

07/23/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Sr. VP, Gnrl Counsel and Secy

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	07/24/2013		S		141 D \$ 70.63	63,021.948 (1)	D
Common Stock	07/24/2013		S		211 D \$ 70.6301	62,810.948 (1)	D
Common Stock	07/24/2013		S		421 D \$ 70.64	62,389.948 (1)	D
Common Stock	07/24/2013		S		70 D \$ 70.6475	62,319.948 (1)	D
Common Stock	07/24/2013		S		72 D \$ 70.65	62,247.948 (1)	D

Edgar Filing: ROCKWELL COLLINS INC - Form 4

Common Stock	07/24/2013	S	916	D	\$ 70.66	<u>61,331.948</u> (1)	D
Common Stock	07/24/2013	S	141	D	\$ 70.7	<u>61,190.948</u> (1)	D
Common Stock	07/24/2013	S	2,780	D	\$ 70.75	<u>58,410.948</u> (1)	D
Common Stock	07/24/2013	S	39	D	\$ 70.8	<u>58,371.948</u> (1)	D
Common Stock	07/24/2013	S	70	D	\$ 70.81	<u>58,301.948</u> (1)	D
Common Stock	07/24/2013	S	1,198	D	\$ 70.8101	<u>57,103.948</u> (1)	D
Common Stock	07/24/2013	S	70	D	\$ 70.8111	<u>57,033.948</u> (1)	D
Common Stock	07/24/2013	S	70	D	\$ 70.83	<u>56,963.948</u> (1)	D
Common Stock	07/24/2013	S	2,114	D	\$ 70.8501	<u>54,849.948</u> (1)	D
Common Stock	07/24/2013	S	1,319	D	\$ 70.9	<u>53,530.948</u> (1)	D
Common Stock	07/24/2013	S	70	D	\$ 70.91	<u>53,460.948</u> (1)	D
Common Stock	07/24/2013	S	326	D	\$ 70.92	<u>53,134.948</u> (1)	D
Common Stock	07/24/2013	S	1	D	\$ 70.94	<u>53,133.948</u> (1)	D
Common Stock	07/24/2013	S	2,472	D	\$ 70.95	<u>50,661.948</u> (1)	D
Common Stock	07/24/2013	S	916	D	\$ 70.955	<u>49,745.948</u> (1)	D
Common Stock	07/24/2013	S	70	D	\$ 70.96	<u>49,675.948</u> (1)	D
Common Stock	07/24/2013	S	1,409	D	\$ 70.9801	<u>48,266.948</u> (1)	D
Common Stock	07/24/2013	S	5,053	D	\$ 70.99	<u>43,213.948</u> (1)	D
Common Stock	07/24/2013	S	1,223	D	\$ 70.9963	<u>41,990.948</u> (1)	D
Common Stock	07/24/2013	S	2,748	D	\$ 70.9968	<u>39,242.948</u> (1)	D
	07/24/2013	S	4,225	D	\$ 71		D

Edgar Filing: ROCKWELL COLLINS INC - Form 4

Common Stock						35,017.948	
						<u>(1)</u>	
Common Stock	07/24/2013		S	70	D	\$ 71.002	34,947.948 D
						<u>(1)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	---

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHADICK GARY R M/S 124-323 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP, Gnrl Counsel and Secy	

Signatures

Gary R. Chadick 07/25/2013
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: ROCKWELL COLLINS INC - Form 4

- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of July 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.