

ROCKWELL COLLINS INC
Form 4
July 25, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KING BRUCE MICHAEL

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL COLLINS INC [COL]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
M/S 108-174, 400 COLLINS ROAD
NE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/24/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP, Operations

CEDAR RAPIDS, IA 52498

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	07/24/2013		M	16,000 A \$ 27.97	38,211.222 (1)	D	
Common Stock	07/24/2013		S	354 D \$ 70.5601	37,857.222 (1)	D	
Common Stock	07/24/2013		S	59 D \$ 70.63	37,798.222 (1)	D	
Common Stock	07/24/2013		S	89 D \$ 70.6301	37,709.222 (1)	D	
Common Stock	07/24/2013		S	177 D \$ 70.64	37,532.222 (1)	D	

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Common Stock	07/24/2013	S	30	D	\$ 70.6475	37,502.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	30	D	\$ 70.65	37,472.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	384	D	\$ 70.66	37,088.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	59	D	\$ 70.7	37,029.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	1,165	D	\$ 70.75	35,864.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	16	D	\$ 70.8	35,848.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	30	D	\$ 70.81	35,818.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	502	D	\$ 70.8101	35,316.22 ⁽¹⁾	D
Common Stock	07/24/2013	S	30	D	\$ 70.8111	35,286.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	30	D	\$ 70.83	35,256.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	886	D	\$ 70.8501	34,370.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	553	D	\$ 70.9	33,817.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	30	D	\$ 70.91	33,787.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	137	D	\$ 70.92	33,650.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	1	D	\$ 70.94	33,649.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	1,028	D	\$ 70.95	32,621.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	384	D	\$ 70.955	32,237.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	30	D	\$ 70.96	32,207.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	591	D	\$ 70.9801	31,616.222 <u>(1)</u>	D
Common Stock	07/24/2013	S	2,118	D	\$ 70.99	29,498.222 <u>(1)</u>	D
	07/24/2013	S	512	D			D

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Common Stock					\$ 28,986.222			
					70.9963	(1)		
Common Stock	07/24/2013		S	1,152	D	\$ 27,834.222		D
						70.9968	(1)	
Common Stock	07/24/2013		S	1,771	D	\$ 71	26,063.222	D
							(1)	
Common Stock						6,178.4434		I
						(2)		By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy) ⁽³⁾	\$ 27.97	07/24/2013		M	16,000	⁽⁴⁾ 11/06/2013	Common Stock	16,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KING BRUCE MICHAEL M/S 108-174 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498			Sr. VP, Operations	

Signatures

Gary R. Chadick

07/25/2013

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of July 1, 2013.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based upon information furnished by the Plan Administrator as of July 1, 2013.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.

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