

ROCKWELL COLLINS INC
Form 4
July 17, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ABZUG BARRY M

(Last) (First) (Middle)

M/S 124-303, 400 COLLINS ROAD
NE

(Street)

CEDAR RAPIDS, IA 52498-0001

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

ROCKWELL COLLINS INC [COL]

3. Date of Earliest Transaction (Month/Day/Year)

07/15/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP, Corporate Development

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	07/15/2013		M		5,345	A	\$ 44.85
							19,325.8982 (1)
Common Stock	07/15/2013		M		1,726	A	\$ 57.92
							21,051.8982 (1)
Common Stock	07/15/2013		M		600	A	\$ 30.39
							21,651.8982 (1)
Common Stock	07/15/2013		S(5)		3,116	D	\$ 68
							18,535.8982 (1)
Common Stock	07/16/2013		M		5,255	A	\$ 44.85
							23,790.8982 (1)

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Common Stock	07/16/2013	M	7,274	A	\$ 57.92	31,064.8982 (1)	D	
Common Stock	07/16/2013	M	4,200	A	\$ 30.39	35,264.8982 (1)	D	
Common Stock	07/16/2013	S ⁽⁵⁾	16,729	D	\$ 68	18,535.8982 (1)	D	
Common Stock						38.5477 (2)	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy) ⁽³⁾	\$ 44.85	07/15/2013		M	5,345	⁽⁴⁾ 11/17/2015	Common Stock	5,345
Stock Option (Right to Buy) ⁽³⁾	\$ 57.92	07/15/2013		M	1,726	⁽⁴⁾ 11/09/2016	Common Stock	1,726
Stock Option (Right to Buy) ⁽³⁾	\$ 30.39	07/15/2013		M	600	⁽⁴⁾ 11/21/2018	Common Stock	600
Stock Option (Right to Buy) ⁽³⁾	\$ 44.85	07/16/2013		M	5,255	⁽⁴⁾ 11/17/2015	Common Stock	5,255

Stock Option (Right to Buy) ⁽³⁾	\$ 57.92	07/16/2013	M	7,274	<u>(4)</u>	11/09/2016	Common Stock	7,274
Stock Option (Right to Buy) ⁽³⁾	\$ 30.39	07/16/2013	M	4,200	<u>(4)</u>	11/21/2018	Common Stock	4,200

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ABZUG BARRY M M/S 124-303 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP, Corporate Development	

Signatures

Gary R. Chadick,
Attorney-in-Fact

07/17/2013

 Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of July 1, 2013.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of July 1, 2013.
- (3) Stock options granted pursuant to the Company's stock based plans.
- (4) The options are currently exercisable.
- (5) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 10, 2013.

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