ROCKWELL COLLINS INC

Form 4 June 25, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

OMB APPROVAL

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obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JONES CLAYTON M Issuer Symbol ROCKWELL COLLINS INC [COL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director 10% Owner X_ Officer (give title Other (specify M/S 124-323, 400 COLLINS ROAD 06/24/2013 below) NE Chairman and CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person

CEDAR RAPIDS, IA 52498-0001

(State)

(City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (Instr. 8) (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 06/24/2013 $S^{(1)}$ 700 D \$ 61.96 156,500 D Stock Common 06/24/2013 $S^{(1)}$ 600 D D \$ 61.97 155,900 Stock Common $S^{(1)}$ 06/24/2013 400 D D \$ 61.98 155,500 Stock Common $S^{(1)}$ 06/24/2013 400 D \$ 61.99 155,100 D Stock Common $S_{-}^{(1)}$ 06/24/2013 100 D \$62 D 155,000 Stock

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Common Stock	06/24/2013	S(1)	100	D	\$ 62.01 154,900	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.02 154,800	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.03 154,700	D
Common Stock	06/24/2013	S(1)	300	D	\$ 62.04 154,400	D
Common Stock	06/24/2013	S(1)	200	D	\$ 62.05 154,200	D
Common Stock	06/24/2013	S(1)	500	D	\$ 62.06 153,700	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.065 153,600	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.07 153,500	D
Common Stock	06/24/2013	S(1)	200	D	\$ 62.08 153,300	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.1 153,200	D
Common Stock	06/24/2013	S(1)	300	D	\$ 62.11 152,900	D
Common Stock	06/24/2013	S(1)	200	D	\$ 62.12 152,700	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.15 152,600	D
Common Stock	06/24/2013	S(1)	100	D	\$ 62.16 152,500	D
Common Stock	06/24/2013	S(1)	160	D	\$ 62.18 152,340	D
Common Stock	06/24/2013	S(1)	200	D	\$ 62.19 152,140	D
Common Stock	06/24/2013	S <u>(1)</u>	100	D	\$ 62.25 152,040	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title a Amount of Underlying Securities (Instr. 3 a	of ng s	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
JONES CLAYTON M							
M/S 124-323 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001	X		Chairman and CEO				

Date

Signatures

Gary R. Chadick, 06/25/2013 Attorney-in-Fact **Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 15,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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