STATLER KENT L

Form 4

January 24, 2012

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * STATLER KENT L			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			ROCKWELL COLLINS INC [COL] (Check all applications)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
M/S 153-100, 400 COLLINS ROAD NE		LINS ROAD	01/23/2012	X Officer (give title Other (special below) below)  ExecVP & COO, Commercial Sy			
				Execvi & Coo, Commercial Sys			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
CEDAR RAPIDS, IA 52498-0001				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) (Instr. 3, 4 and 5) Form: Direct Code Beneficially Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 69,719.751 \$ 01/23/2012 Α 46,310 A D Stock 30.39 (1) Common 69,619.751 01/23/2012 S 100 D D Stock 59.21 (1) Common 69,519.751 S 100 D 01/23/2012 D Stock 59.22 (1) 69,419.751 Common D 01/23/2012 S 100 D Stock 59.23 (1) Common 68.919.751 01/23/2012 S 500 D D 59.25 Stock (1)

## Edgar Filing: STATLER KENT L - Form 4

Common Stock	01/23/2012	S	100	D	\$ 59.26	68,819.751 (1)	D
Common Stock	01/23/2012	S	400	D	\$ 59.27	68,419.751 (1)	D
Common Stock	01/23/2012	S	300	D	\$ 59.28	68,119.751 (1)	D
Common Stock	01/23/2012	S	3,952	D	\$ 59.29	64,167.751 (1)	D
Common Stock	01/23/2012	S	600	D	\$ 59.3	63,567.751 (1)	D
Common Stock	01/23/2012	S	200	D	\$ 59.31	63,367.751 (1)	D
Common Stock	01/23/2012	S	500	D	\$ 59.32	62,867.751 (1)	D
Common Stock	01/23/2012	S	1,300	D	\$ 59.33	61,567.751 (1)	D
Common Stock	01/23/2012	S	768	D	\$ 59.34	60,799.751 (1)	D
Common Stock	01/23/2012	S	1,000	D	\$ 59.35	59,799.751 (1)	D
Common Stock	01/23/2012	S	732	D	\$ 59.36	59,067.751 (1)	D
Common Stock	01/23/2012	S	100	D	\$ 59.38	58,967.751 (1)	D
Common Stock	01/23/2012	S	900	D	\$ 59.39	58,067.751 (1)	D
Common Stock	01/23/2012	S	500	D	\$ 59.4	57,567.751 (1)	D
Common Stock	01/23/2012	S	2,000	D	\$ 59.41	55,567.751 (1)	D
Common Stock	01/23/2012	S	200	D	\$ 59.42	55,367.751 (1)	D
Common Stock	01/23/2012	S	600	D	\$ 59.43	54,767.751 (1)	D
Common Stock	01/23/2012	S	4,500	D	\$ 59.45	50,267.751 (1)	D
Common Stock	01/23/2012	S	700	D	\$ 59.46	49,567.751 (1)	D
Common Stock	01/23/2012	S	1,800	D	\$ 59.47	47,767.751 (1)	D
	01/23/2012	S	100	D			D

### Edgar Filing: STATLER KENT L - Form 4

Common Stock					\$ 59.48	47,667.751 (1)		
Common Stock	01/23/2012	S	1,200	D	\$ 59.49	46,467.751 (1)	D	
Common Stock	01/23/2012	S	100	D	\$ 59.51	46,367.751 (1)	D	
Common Stock						3,727.8785 (2)	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) (3)	\$ 30.39	01/23/2012		A	46,310	<u>(4)</u>	11/21/2018	Common Stock	46,310

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

STATLER KENT L M/S 153-100 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001

ExecVP & COO, Commercial Sys

Reporting Owners 3

# **Signatures**

Gary R. Chadick, Attorney-in-Fact

01/24/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of January 1, 2012.
- (2) Shares represented by Company stock fund units under the Company's tax-qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of January 1, 2012.
- (3) Employee stock options granted pursuant to the Company's stock based plans.
- (4) The options became exercisable in three equal annual installments on November 21, 2009, 2010 and 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4