## Edgar Filing: CARBONE ANTHONY J - Form 4

CARBONE A	ANTHONY J											
Form 4												
April 05, 201	1											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									т	OMB APPROVAL		
	- UNITED S	IAIES		hington, l			NGE (	COMMISSION	OMB Number:	3235-0287		
Check this	s box		vv as	inington, i	D.C. 20.	) <b>47</b>			Expires:	January 31,		
if no longer				CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						2005 average		
Section 10 Form 4 or				SECURI	TIES				burden hours per			
Form 5		uant to Se	ection 16	(a) of the	Securiti	es Ex	chang	ge Act of 1934,	response	0.5		
obligation	<sup>8</sup> Section $17(a)$							f 1935 or Sectio	on			
may conti <i>See</i> Instru	nue.			vestment (	•	- ·						
1(b).	• • • • • • • • • • • • • • • • • • • •											
	<b>`</b>											
(Print or Type R	esponses)											
						Reporting Person(s) to						
			Symbol				~~~~	Issuer				
	]	ROCKWELL COLLINS INC [COL]					(Check all applicable)					
				Earliest Tra	nsaction							
				onth/Day/Year)				_X_Director10% Owner Officer (give titleOther (specify below)below)				
			04/04/2011									
			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
		]	Filed(Mont	h/Day/Year)				Applicable Line)				
	MI 49640							_X_ Form filed by Form filed by 1	One Reporting Po More than One Ro			
MIDLAND,	IVII 48040							Person				
(City)	(State) (A	Zip)	Table	I - Non-De	erivative S	Securi	ties Aco	quired, Disposed o	of, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution		3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)				SecuritiesHBeneficially(OwnedH	1	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
(ilisu: 5)		any (Month/D	ay/Year)	(Instr. 8) (Instr. 3, 4 and 5)			Indirect (I) (Instr. 4)					
						(A) or		Reported Transaction(s) (Instr. 3 and 4)				
Common				Code V	Amount	(D)	Price	,				
Common Stock	04/04/2011			A <u>(1)</u>	427	А	\$ 0 (1)	20,669.856 <u>(2)</u>	D			
Common Stock								17,769 <u>(3)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

]	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CARBONE ANTHONY J 3211 VALLEY DRIVE MIDLAND, MI 48640	Х						
Signatures							
/s/ Gary R. Chadick, Attorney-in-Fact		04/05/20	11				

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units awarded as compensation for services as Director. Shares of common stock underlying restricted stock units will be issued promptly after departure from the Board of Directors.
- (2) Includes dividend equivalents issued quarterly on the restricted stock units.
- (3) 11,984 of these shares are restricted shares and were delivered as compensation for services as Director. The restricted shares are held by issuer to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.