**RANKIN JAMES T** Form 4 March 28, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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January 31, Expires: 2005

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN JAMES T

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title \_\_X\_ Other (specify

(Check all applicable)

5875 LANDERBROOK DRIVE 03/27/2019

below) Member of a Group

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

below)

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ole I - Non-	-Derivativ	e Sec	urities Ac	cquired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	Co-trustee of trust fbo
Class A Common Stock	03/27/2019		P	3 (1)	A	\$ 62.49 (2)	598	I	niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/27/2019		P	3 (1)	A	\$ 62.49 (2)	598	I	Co-trustee of trust fbo nephew.

nephew. Reflects

								proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/27/2019	P	3 (1)	A	\$ 62.49 (2)	505	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/27/2019	P	2 (1)	A	\$ 62.49 (2)	599	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/27/2019	P	3 (1)	A	\$ 62.49 (2)	598	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/27/2019	P	3 (1)	A	\$ 62.49 (2)	598	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						9,562	I	proportionate limited partnership interests in shares held by RA II LP
Class A Common Stock						99	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock						569	I	child's proportionate limited partnership interest in shares held by Rankin Associates II

Class A Common Stock	15,853	I	Held by Trust
Class A Common Stock	862	Ι	Spouse's proportionate limited partnership interests in shares held by Rankin Associates II LP (3)
Class A Common Stock	563	I	Shares held by spouse (3)
Class A Common Stock	761	I	Minor child?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	1,860	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. (3)
Class A Common Stock	645	I	co-trustee of trust for the benefit of minor niece (3)
Class A Common Stock	1,712	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares. (3)
Class A Common Stock	563	I	co-trustee of the trust for the benefit of the minor nephew (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Price o Derivativ Security (Instr. 5)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	9,562
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	569
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	15,683
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	862

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	563
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	761
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,860
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	645
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,712
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	563

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Relationships

RANKIN JAMES T Member of a Group 5875 LANDERBROOK DRIVE

Reporting Owners 5

#### MAYFIELD HEIGHTS, OH 44124

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/28/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Mar-27-Weighted Average Share Price represents average price between \$62.37 and \$62.59.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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