Edgar Filing: Catlett Janet Anne - Form 4

Catlatt Ismat A

| Form 4 | Anne | | | | | | | | | | |
|--|--|---|--|--|--|--------|---|--|---|---|--|
| February 22, | | | | | | | | | OMB AF | PROVAL | |
| | ORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | OMMISSION | OMB Number: | 3235-0287 | | |
| Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b). | 6. r Filed p inue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | Expires: Estimated a burden hour response | • | |
| (Print or Type F | Responses) | | | | | | | | | | |
| Catlett Janet Anne Sym | | | 2. Issuer Symbol | 2. Issuer Name and Ticker or Trading Symbol STEPAN CO [SCL] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | STEPA | | | | | (Check all applicable) | | | |
| (Mont | | | (Month/E | Date of Earliest Transaction onth/Day/Year) /20/2019 | | | | Director X Officer (give below) | | Owner r (specify | |
| | | | nendment, Date Original fonth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| NORTHFIE | CLD, IL 60093 | | | | | | | Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-E | Derivative S | Securi | ties Acqu | uired, Disposed of | , or Beneficial | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | r) Execution any | | 3. Transactic Code (Instr. 8) Code V | 4. Securiti on(A) or Dis (Instr. 3, 4) Amount | posed | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 02/20/2019 | | | A | 36.566 | A | \$ 92.29 | 36.566 | Ι | By Esop II Trust | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and An Underlying Sec (Instr. 3 and 4) |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | A Title N S |
| Stock Option (Right to Buy) | \$ 92.29 | 02/20/2019 | | А | 1,687 | 12/31/2019 <u>(1)</u> | 02/19/2029 | Common Stock |
| Stock Appreciation Right | \$ 92.29 | 02/20/2019 | | А | 5,062 | 12/31/2019 <u>(1)</u> | 02/19/2029 | Common Stock |
| Performance Shares | <u>(2)</u> | 02/20/2019 | | А | 1,300 | (2) | (2) | Common Stock |
| Share Units | <u>(3)</u> | 02/20/2019 | | А | 756.185 | (4) | (4) | Common , Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Catlett Janet Anne 22 WEST FRONTAGE ROAD NORTHFIELD, IL 60093 | | | VP, CHRO | | | |
| Ciamoturao | | | | | | |

Signatures

Stephanie J. Pacitti, attorney-in-fact for Janet A. Catlett

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vests ratably over three years beginning on date shown.
- (2) Each performance share represents a contingent right to receive 1 share of Stepan Company Stock. The performance shares vest upon Stepan Company achieving certain financial targets by December 31, 2021.

02/22/2019

Date

(3) Share Units convert on a one-for-one basis into Common Stock.

Share Units are acquired under the Management Incentive Plan (As Amended and Restated Effective January 1, 2015) ("MIP"), a

(4) nonqualified deferred compensation plan which allows MIP participants to elect to defer all or a portion of their deferred compensation into accounts pursuant to MIP provisions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.