Edgar Filing: Bernstein David - Form 4

Form 4 February 20											
FORM	ЛЛ								OMB AF	PROVAL	
	UNITED	STATES		RITIES A			NGE CO	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: Estimated a burden hour response	•	
Form 5 obligation may con <i>See</i> Inst 1(b).	ons ntinue. Section 17	(a) of the l	Public U		lding Cor	npan	y Act of 1	Act of 1934, 1935 or Section			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Bernstein David			2. Issuer Name and Ticker or Trading Symbol CARNIVAL CORP [CCL]				0	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check					k all applicable)			
CARNIVA NW 87TH	L CORPORATI AVE	ON, 3655	(Month/) 02/15/2	Day/Year) 2019			- - t	Director X Officer (give to below) CH		Owner r (specify	
(Street)			Filed(Month/Day/Year)				1	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
MIAMI, FI	L 33178						- I	Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secu	rities Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securiti our Dispose (Instr. 3, 4	ed of (and 5		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/15/2019			Code V A(1)	Amount 24,682 (2)	or (D) A	Price \$ 0	(Instr. 3 and 4) 70,362.142	D		
Common Stock	02/15/2019			S	24,682	D	\$ 57.0837	45,680.142	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Inst
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addre	SS	Relationships							
1	Director	10% Owner	Officer	Other					
Bernstein David CARNIVAL CORPORATIO 3655 NW 87TH AVE MIAMI, FL 33178	DN		CFO & CAO						
Signatures									
/s/ David Bernstein	02/20/2019								
**Signature of	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of performance-based restricted stock units ("PBS RSUs") granted on April 13, 2016 pursuant to the Carnival Corporation 2011 Stock Plan. Each PBS RSU represents one share of Carnival Corporation common stock.

The reporting person was eligible to earn from 0-200% of the stated target amount of PBS RSUs to the extent that Carnival Corporation & plc's annual operating income, as adjusted for certain fuel price changes and currency exchange rate impact for each of the three fiscal years in the 2016-2018 performance cycle and return on invested capital at the end of the three year performance cycle exceed specified

(2) years in the 2010-2018 performance cycle and return on invested capital at the end of the time year performance cycle exceed spectrue are performance cycle for Carnival Corporation & plc's total shareholder return rank relative to peers. This includes shares from the settlement of dividend equivalents accumulated during the PBS RSU restricted period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person