Morse David L Form 4 November 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Morse David L Issuer Symbol CORNING INC /NY [GLW] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify ONE RIVERFRONT PLAZA 11/20/2018 below) **Executive Vice President** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CORNING, NY 14831 Person

| (City) | (State) | (Zip) Tab | le I - Non- | Derivative | e Secu | rities Acqui | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|--|------------|------------------------------|----------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | | sed of 4 and (A) or | ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 11/20/2018 | | M | 50 | A | \$ 8.67 | 550 | I | Held by Spouse (1) |
| Common Stock | 11/20/2018 | | M | 50 | A | \$ 10.05 | 600 | I | Held by Spouse (1) |
| Common Stock | 11/20/2018 | | M | 50 | A | \$ 10.25 | 650 | I | Held by Spouse (1) |
| Common Stock | 11/20/2018 | | S | 150 | D | \$ 30.8233 (2) | 500 | I | Held by Spouse (1) |
| Common Stock | | | | | | | 35,953 | D | |

Edgar Filing: Morse David L - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | e 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pri Deriv Secun (Instr |
|---|---|---|---|---|--|--|--------------------|---|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Options (Right to Buy) | \$ 8.67 | 11/20/2018 | | M | 50 | 12/03/2009 | 12/02/2018 | Common Stock | 50 | \$ |
| Stock Options (Right to Buy) | \$ 10.05 | 11/20/2018 | | M | 50 | 01/02/2010 | 01/01/2019 | Common Stock | 50 | \$ |
| Stock Options (Right to Buy) | \$ 10.25 | 11/20/2018 | | M | 50 | 02/02/2010 | 02/01/2019 | Common Stock | 50 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|--------------------|--|---------------------------------|-------|--|--|
| 1 8 | Director 10% Owner | | Officer | Other | | |
| Morse David L | | | | | | |
| ONE RIVERFRONT PLAZA | | | Executive Vice President | | | |

Signatures

CORNING, NY 14831

Linda E. Jolly, Power of Attorney 11/20/2018

Reporting Owners 2

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of all securities held by spouse.
 - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30,82
- (2) to \$30.825, inclusive. The reporting person undertakes to provided to Corning Incorporated, any security holder of Corning Incorporated, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth previously in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3