Choate William Millard Form 4 May 07, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

7. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

Estimated average

response...

burden hours per

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Choate William Millard

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol FIDELITY SOUTHERN CORP

[LION]

below)

(Check all applicable)

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

3490 PIEDMONT ROAD, SUITE

(Street)

(State)

1550

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

05/04/2018

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ATLANTA, GA 30305

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

4. Securities Acquired (A) or 5. Amount of 3. TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

6. Securities Ownership Beneficially Form: Owned Direct (D) or Indirect **Following** 

Reported Transaction(s)

(I) (Instr. 4) (Instr. 3 and 4)

**Fidelity** Southern

Stock

Corporation 05/04/2018 - Common

131.1643 A Α

Code V

Price

(A)

or

(D)

228,296.5272 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

1

#### Edgar Filing: Choate William Millard - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amo Underlying Sect (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 15.56					01/23/2015(1)	01/23/2019	Fidelity Southern Corporation - Common Stock	6,000
Stock Option (Right to Buy)	\$ 17.87					01/21/2017(2)	01/21/2021	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 22.91					01/19/2018(3)	01/19/2022	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 23.75					01/18/2019(4)	01/18/2023	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 16.82 (5)					03/19/2016	03/19/2020	Fidelity Southern Corporation - Common Stock	10,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
	Y					

Reporting Owners 2

Choate William Millard 3490 PIEDMONT ROAD, SUITE 1550 ATLANTA, GA 30305

## **Signatures**

Brittany Caylor, Attorney in Fact, Wm. Millard Choate

05/07/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable: 1/3 on 1/23/15; 1/3 on 1/23/16; 1/3 on 1/23/17
- (2) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019
- (3) Exercisable: 1/3 on 1/19/2018, 1/3 on 1/19/2019, 1/3 on 1/19/2020
- (4) Exercisable: 1/3 on 1/18/2019, 1/3 on 1/18/2020, 1/3 on 1/18/2021
- (5) Exercisable: 1/3 on 3/19/16, 1/3 on 3/19/17, 1/3 on 3/19/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3