## Edgar Filing: Williams Anre D - Form 4

Williams Ar Form 4	nre D										
October 27,	2017										
									OMB AF	PROVAL	
	<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section 1 Form 4 c	ger o <b>STATEN</b> 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31 2005Estimated average burden hours per response0.5	
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 170 uction	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
(Print or Type)	Responses)										
Williams Anre D Symbol			ymbol					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (		AMERICAN EXPRESS CO [AXP] 3. Date of Earliest Transaction				(Check	(Check all applicable)			
, <i>,</i> ,	( STREET, AME	(]	Month/D .0/25/20	ay/Year)	ansaction			Director X_ Officer (give below) Pres, Glb M		Owner er (specify lt Grp	
	(Street) 4. If Ame Filed(Mon				ate Original			<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>			
NEW YOR	K, NY 10285-50	01						Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-E	Derivative S	Securi	ties Acq	uired, Disposed of	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. De (Month/Day/Year) Executi any (Month			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	10/25/2017			S <u>(1)</u>	30,761	D	\$ 93.41 (2)	28,720	D		
Common Stock								9.76 <u>(3)</u>	I	by 401(k) Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	6. Date Exercisable and Expiration Date (Month/Day/Year)		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Williams Anre D 200 VESEY STREET, AMERICAN EXPRESS TOWER NEW YORK, NY 10285-5001			Pres, Glb Mrch Srv & Loylt Grp					
Signatures								
/s/ Tangela S. Richter, attorney-in-fact 10/27/	2017							

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) The reported disposition represents the sale of shares acquired from restricted stock vestings and/or stock option exercises in prior years.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.34 to \$93.46. The reporting person undertakes to provide to American Express Company, any security holder of American Express

- (2) Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (3) Shares held in reporting person's account under the Company's Retirement Savings Plan. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.