

NACCO INDUSTRIES INC  
Form 4  
December 21, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BUTLER JOHN C JR

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/17/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below)  Other (specify below)  
SrVP-Fin Treas & Chief Adm Off / Member of a Group

(Street)  
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				(A) or (D)	Price					
				Code	V	Amount				
Class A Common Stock	12/14/2015		G	V	290	A	Ⓛ	35,471	I	By Trust <sup>(2)</sup>
Class A Common Stock	12/14/2015		G	V	290	A	Ⓛ	61,126	I	By Spouse/Trust <sup>(3)</sup>
Class A Common Stock	12/14/2015		G	V	290	A	Ⓛ	4,083	I	By Trust-Child 1 <sup>(4)</sup>
	12/14/2015		G	V	290	A	Ⓛ	3,927	I	

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Class A Common Stock								By Trust-Child 2 (4)	
Class A Common Stock	12/17/2015		P	393	A	\$ 41.9858 (5)	61,519	I	By Spouse/Trust (3)
Class A Common Stock	12/18/2015		P	393	A	\$ 41.6671 (5)	61,912	I	By Spouse/Trust (3)
Class A Common Stock							7,650	I	By RAII/Child 1 (6)
Class A Common Stock							7,806	I	By RAII/Child 2 (6)
Class A Common Stock							12,257	I	By RAII/Spouse (7)
Class A Common Stock							11,008	I	to spouse by RAIV (A)
Class A Common Stock							2,800	I	By IRA (8)
Class A Common Stock							7,272	I	By RAI (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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