Anthem, Inc. Form 4 April 15, 2015

## FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

January 31,

Check this box if no longer subject to Section 16.

Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2005 Estimated average burden hours per 0.5

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response...

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MCCARTHY GLORIA M			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Anthem, Inc. [ANTM]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
120 MONUMENT CIRCLE			(Month/Day/Year) 04/13/2015	Director 10% Owner _X Officer (give title Other (specify below)  EVP & Chief Administrative Off		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
INDIANAPO	LIS, IN 462	204	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acqu	ired, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securiti for Dispose (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/13/2015		M	13,936	A	\$ 61.88	72,031.1293	D	
Common Stock	04/13/2015		M	11,491	A	\$ 65.98	83,522.1293	D	
Common Stock	04/13/2015		M	18,506	A	\$ 66.23	102,028.1293	D	
Common Stock	04/13/2015		S <u>(1)</u>	18,405	D	\$ 154.65 (2)	83,623.1293	D	
Common Stock	04/13/2015		S <u>(1)</u>	25,528	D	\$ 155.28	58,095.1293	D	

#### Edgar Filing: Anthem, Inc. - Form 4

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 61.88	04/13/2015		M	13,936	<u>(4)</u>	03/01/2020	Common Stock	13,936
Employee Stock Option (Right to Buy)	\$ 65.98	04/13/2015		M	11,491	<u>(5)</u>	03/01/2018	Common Stock	11,491
Employee Stock Option (Right to Buy)	\$ 66.23	04/13/2015		M	18,506	<u>(6)</u>	03/01/2019	Common Stock	18,506

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MCCARTHY GLORIA M 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204			EVP & Chief Administrative Off				

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### **Signatures**

/s/ Kathleen S. Kiefer, Attorney in fact

04/15/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 13, 2015.
- This transaction was executed in multiple trades at prices ranging from \$154.10 to \$155.09. The price reported reflects the weighted (2) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades at prices ranging from \$155.10 to \$155.64. The price reported reflects the weighted (3) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) The option, representing a right to purchase a total of 20,904 shares, became exercisable in six equal semi-annual installments of 3,484 shares each beginning on September 1, 2013, which was the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 11,491 shares, became exercisable in five equal semi-annual installments of 1,915 shares each and one semi-annual installment of 1,916 shares beginning on September 1, 2011, which was the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 18,506 shares, became exercisable in four equal semi-annual installments of 3,084 (6) shares each and two equal semi-annual installments of 3,085 shares each beginning on September 1, 2012, which was the six-month anniversary of the date on which the option was granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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