

HOLOGIC INC
Form 3
June 26, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Oberton Karleen Marie		(Month/Day/Year)	HOLOGIC INC [HOLX]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
35 CROSBY DRIVE			(Check all applicable)	
(Street)			☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) Corp. VP, Finance & Accounting	
BEDFORD,Â MAÂ 01730			6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	0	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of			

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				Shares		(I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	05/15/2007 ⁽¹⁾	05/15/2016	Common Stock	30,000	\$ 21.89	D	Â
Non-qualified Stock Option (Right to Buy)	11/11/2010 ⁽²⁾	11/11/2016	Common Stock	8,455	\$ 15.75	D	Â
Non-qualified Stock Option (Right to Buy)	11/10/2011 ⁽³⁾	11/10/2017	Common Stock	12,237	\$ 16.82	D	Â
Non-qualified Stock Option (Right to Buy)	11/09/2012 ⁽⁴⁾	11/09/2018	Common Stock	16,805	\$ 17.09	D	Â
Non-qualified Stock Option (Right to Buy)	11/14/2013 ⁽⁵⁾	11/14/2019	Common Stock	19,985	\$ 19.86	D	Â
Non-qualified Stock Option (Right to Buy)	11/18/2014 ⁽⁶⁾	11/18/2020	Common Stock	17,291	\$ 21.45	D	Â
Non-qualified Stock Option (Right to Buy)	05/26/2015 ⁽⁷⁾	05/26/2021	Common Stock	5,973	\$ 23.82	D	Â
Restricted Stock Unit Award (Right To Receive)	11/10/2011	Â ⁽⁸⁾	Common Stock	1,858	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/09/2012	Â ⁽⁸⁾	Common Stock	4,023	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/14/2013	Â ⁽⁸⁾	Common Stock	5,382	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/18/2014	Â ⁽⁸⁾	Common Stock	6,060	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	05/26/2015	Â ⁽⁸⁾	Common Stock	2,099	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/18/2016	Â ⁽⁸⁾	Common Stock	4,195	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Oberton Karleen Marie 35 CROSBY DRIVE BEDFORD, MA 01730	Â	Â	Â Corp. VP, Finance & Accounting	Â

Signatures

/s/ Mark J. Casey, Attorney-in-fact for Karleen M.
Oberton

06/26/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option, issued pursuant to the Second Amended and Restated 1999 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning May 15, 2007.
- (2) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 11, 2010.
- (3) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 10, 2011.
- (4) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 9, 2012.
- (5) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 14, 2013.
- (6) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 18, 2014.
- (7) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning May 26, 2015.
- (8) These shares represent restricted stock units (RSUs) awarded to the Reporting Person pursuant to the 2008 Equity Incentive Plan and are subject to vesting as provided in the agreement evidencing the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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