

CORNING INC /NY  
Form 3  
May 09, 2014

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Pambianchi Christine M		(Month/Day/Year)	CORNING INC /NY [GLW]	
(Last)	(First)	(Middle)	05/01/2014	
ONE RIVERFRONT PLAZA			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
CORNING,Â NYÂ 14831			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Senior VP, Human Resources	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
------------------------------------	--	---	--

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Edgar Filing: CORNING INC /NY - Form 3

Restricted Stock Unit	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	1,381	\$ <u>(2)</u>	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/28/2006 <u>(4)</u>	04/27/2015	Common Stock	3,300	\$ 13.68	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/27/2007 <u>(5)</u>	04/26/2016	Common Stock	500	\$ 28.2	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/26/2008 <u>(6)</u>	04/25/2017	Common Stock	1,000	\$ 24.91	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/24/2009 <u>(7)</u>	04/23/2018	Common Stock	1,000	\$ 25.44	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	12/03/2009 <u>(8)</u>	12/02/2018	Common Stock	333	\$ 8.67	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/02/2010 <u>(9)</u>	01/01/2019	Common Stock	333	\$ 10.05	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/02/2010 <u>(10)</u>	02/01/2019	Common Stock	334	\$ 10.25	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	12/02/2010 <u>(11)</u>	12/01/2019	Common Stock	333	\$ 17.82	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/04/2011 <u>(12)</u>	01/03/2020	Common Stock	333	\$ 19.56	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/01/2011 <u>(13)</u>	01/31/2020	Common Stock	334	\$ 18.16	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/03/2012 <u>(14)</u>	01/02/2021	Common Stock	333	\$ 19.19	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/01/2012 <u>(15)</u>	01/31/2021	Common Stock	333	\$ 22.69	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	03/01/2012 <u>(16)</u>	02/28/2021	Common Stock	334	\$ 22.03	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	01/03/2015	01/02/2022	Common Stock	366	\$ 13.04	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	02/01/2015	01/31/2022	Common Stock	367	\$ 12.9	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	03/01/2015	02/28/2022	Common Stock	367	\$ 12.97	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	03/31/2017	02/29/2024	Common Stock	690	\$ 20.82	I	Held by Spouse <u>(3)</u>
Stock Options (Right to Buy)	04/30/2017	04/29/2024	Common Stock	687	\$ 20.91	I	Held by Spouse <u>(3)</u>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pambianchi Christine M ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Â Senior VP, Human Resources	Â

## Signatures

Linda E. Jolly, Power of Attorney  
05/09/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
  - (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
  - (3) The reporting person disclaims beneficial ownership of all securities held by spouse.
  - (4) The options vest in three equal annual installments beginning on April 28, 2006.
  - (5) The options vest in three equal annual installments beginning on April 27, 2007.
  - (6) The options vest in three equal annual installments beginning on April 26, 2008.
  - (7) The options vest in three equal annual installments beginning on April 24, 2009.
  - (8) The options vest in three equal annual installments beginning on December 3, 2009.
  - (9) The options vest in three equal annual installments beginning on January 2, 2010.
  - (10) The options vest in three equal annual installments beginning on February 2, 2010.
  - (11) The options vest in three equal annual installments beginning on December 2, 2010.
  - (12) The options vest in three equal annual installments beginning on January 4, 2011.
  - (13) The options vest in three equal annual installments beginning on February 1, 2011.
  - (14) The options vest in three equal annual installments beginning on January 3, 2012.
  - (15) The options vest in three equal annual installments beginning on February 1, 2012.
  - (16) The options vest in three equal annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.