CORNING INC /NY

Form 4 May 02, 2014

## FORM 4

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Check this box

if no longer subject to Section 16.

3235-0287 Number:

Expires:

January 31, 2005

Estimated average burden hours per response...

0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Washington, D.C. 20549

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Tripeny R Tony

(Last)

(City)

Security

(Instr. 3)

2. Issuer Name and Ticker or Trading Symbol

CORNING INC /NY [GLW]

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

04/30/2014

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

ONE RIVERFRONT PLAZA

Director 10% Owner X\_ Officer (give title Other (specify

below) below) VP Controller & PAO

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CORNING, NY 14831

1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) (Instr. 4)

Ownership (Instr. 4)

(A) Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Securities Code

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4)

#### Edgar Filing: CORNING INC /NY - Form 4

| (Instr. 3)                   | Price of<br>Derivative<br>Security | (Mo        | onth/Day/Year) | (Instr. |   | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                     |                    |                 |  |
|------------------------------|------------------------------------|------------|----------------|---------|---|--|-----|---------------------|--------------------|-----------------|--|
|                              |                                    |            |                | Code    | V | (A)  | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Stock Options (Right to Buy) | \$ 20.91                           | 04/30/2014 |                | A       |   | 5,978  |     | 04/30/2017          | 04/29/2024         | Common<br>Stock | 5,978                                  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Tripeny R Tony

ONE RIVERFRONT PLAZA VP Controller & PAO

CORNING, NY 14831

### **Signatures**

Linda E. Jolly, Power of Attorney 05/02/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2