MINDSPEED TECHNOLOGIES, INC

Form 4

December 02, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

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Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Steege Brandi R

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

(Check all applicable)

MINDSPEED TECHNOLOGIES, INC [MSPD]

11/27/2013

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner _X__ Officer (give title Other (specify

VP and General Counsel

5. Relationship of Reporting Person(s) to

4000 MACARTHUR BLVD., EAST

(Middle)

TOWER

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEWPORT BEACH, CA 92660

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A)	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	11/27/2013		S	2,500	D	\$ 5.0208	121,718	D	
Common Stock	11/27/2013		M	2,500	A	\$ 4.37	124,218	D	
Common Stock	11/27/2013		S	167	D	\$ 5.0215	121,718	D	
Common Stock	11/27/2013		M	167	A	\$ 1.7	121,885	D	
Common Stock	11/27/2013		M	4,168	A	\$ 2.12	125,818	D	

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Common Stock	11/27/2013		S	4,168	D	\$ 5.0249	121,650	D	
Common Stock							4,362	I	By Savings Plan - MSPD (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2.12	11/27/2013		M		4,168	04/30/2010	04/30/2017	Common Stock	4,168
Stock Option (Right to Buy)	\$ 1.7	11/27/2013		M		167	05/15/2010	02/02/2015	Common Stock	167
Stock Option (Right to Buy)	\$ 4.37	11/27/2013		M		2,500	02/25/2010	11/25/2017	Common Stock	2,500

Reporting Owners

Reporting Owner Name / Address	eporting Owner Name / Address					
	Director	10% Owner	Officer	Other		

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Steege Brandi R 4000 MACARTHUR BLVD., EAST TOWER NEWPORT BEACH, CA 92660

VP and General Counsel

Signatures

/s/ Ambra R. Roth, Attorney-in-fact

12/02/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price in Column 4 is a weighted average price. The prices actually received ranged from \$5.020 to \$5.025. The reporting person will provide to the Company, any security holder of the Company, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- Shares represented by Company stock fund units under the Mindspeed Technologies, Inc. Savings Plan which were acquired on a (2) periodic basis pursuant to the Plan, based on the latest information furnished by the Plan Administrator. The shares represented by Company stock fund units under the Plan are held in the employee benefit plan trust established thereunder.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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