## Edgar Filing: FORSGREN JOHN H - Form 4

FORSGREN JOH Form 4 May 06, 2013	HN H										
FORM 4	UNITED	STATES		RITIES A shington			NGE	COMMISSIO	-	PPROVAI 3235-0	
										average urs per	y 31, 2005 0.5
(Print or Type Respo	onses)										
1. Name and Addres FORSGREN JO	2. Issuer Name <b>and</b> Ticker or Trading Symbol Duke Energy CORP [DUK]			g	5. Relationship of Reporting Person(s) to Issuer						
(Last) (First) (Middle) 550 S. TRYON STREET			3. Date of Earliest Transaction (Month/Day/Year) 05/02/2013				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below)				
				4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
(City)     (State)     (Zip)     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
	ansaction Date 1th/Day/Year)	2A. Deema Execution any (Month/Da	ed Date, if	3. Transactio Code (Instr. 8) Code V	4. Secu nAcquir Dispose (Instr. 3	rities ed (A) or ed of (D) 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect	of I
Reminder: Report or	n a separate lind	e for each cl	ass of sect	urities benet	Per info req disp	sons who rmation uired to r	o res conta respo	r indirectly. pond to the colle ained in this forr and unless the fo atly valid OMB co	n are not orm	SEC 1474 (9-02)	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	n Date, if TransactionDerivative		Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or		

	Derivative Security				Disposed of (D) (Instr. 3, 4, and 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Director Savings Plan	<u>(1)</u>	05/02/2013	A		1,671.57		(2)	(3)	Common Stock	1,671.57

05/06/2013

Date

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
FORSGREN JOHN H 550 S. TRYON STREET CHARLOTTE, NC 28202	Х								
Signatures									
	0 0 T	1							

/s/ David S. Maltz, attorney-in-fact for John H. Forsgren

\*\*Signature of Reporting Person

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.