Edgar Filing: MINDSPEED TECHNOLOGIES, INC - Form 4

MINDSPEED TECHNOLOGIES, INC

Form 4

November 09, 2010

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

MINDSPEED TECHNOLOGIES,

3. Date of Earliest Transaction

Symbol

(Middle)

INC [MSPD]

(Print or Type Responses)

HALIM RAOUF Y

1. Name and Address of Reporting Person *

(First)

1(b).

(Last)

may continue.

See Instruction

4000 MACARTHUR BLVD., EAST TOWER			(Month/Day/Year) 11/05/2010				_X_ Officer (give title Other (specify below) Chief Executive Officer			
		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEWPORT BEACH, CA 92660		60					Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Dis						quired, Disposed o	osed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acc n(A) or Disposed (D) (Instr. 3, 4 and 5 (A) or Amount (D)	ed of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/05/2010		A	15,000 (1)	A	A \$0	204,432	D		
Common Stock							1,054	I	By IRA	
Common Stock							24,752	I	By Savings Plan - MSPD (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Issuer

_X__ Director

Edgar Filing: MINDSPEED TECHNOLOGIES, INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to	\$ 6.4	11/05/2010		A	30,000	11/05/2011(3)	11/05/2018	Common Stock	30,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HALIM RAOUF Y 4000 MACARTHUR BLVD., EAST TOWER NEWPORT BEACH, CA 92660

X

Chief Executive Officer

Signatures

Buy)

/s/ Ambra R. Roth, Attorney-in-fact

11/09/2010 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The acquisition represents an award of restricted common stock vesting 1/3 on November 5, 2011 and 1/8 each three (3) months thereafter.
- Shares represented by Company stock fund units under the Mindspeed Technologies, Inc. Savings Plan which were acquired on a (2) periodic basis pursuant to the Plan, based on the latest information furnished by the Plan Administrator. The shares represented by Company stock fund units under the Plan are held in the employee benefit plan trust established thereunder.
- Options become exercisable as to 1/3 of the options shares on this date and as to an additional 1/24 of the option shares each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: MINDSPEED TECHNOLOGIES, INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.