Edgar Filing: Colony Capital, Inc. - Form 4

Colony Capi	tal, Inc.										
Form 4	. .										
April 06, 201	_										
FORM	14 _{UNITI}	FD STATES	SFCUR	ITIFS A	ND FXC	ΉΔΝ	IGE (COMMISSION	т	PPROVAL	
		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					OMB Number:	3235-0287			
Check thi		8,	Expires:	January 31							
if no long subject to		TEMENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF							Expires. 200 Estimated average	
Section 1	SECURITIES						burden hours per				
Form 4 or Form 5	o 1/		а	Б	1		response	0.5			
obligation	They pursuant to section 10(a) of the securities Exchange Act of 1754,							n			
may cont	inue.		of the Inv	•	. .				211		
<i>See</i> Instru 1(b).	iction	50(II)	of the m	vestment	company	1101	0117	-10			
-(-).											
(Print or Type F	Responses)										
1 Name and A	ddress of Repor	ting Person *	2 1	Nama and	Т: -1 Т	···· 41:		5. Relationship of	f Reporting Per	son(s) to	
Redington N	-		Symbol	Name and	Ticker of 1	rading	3	Issuer			
e			•	Capital, Ir	nc. [CLN	Y]					
(Last)	(First)	(Middle)	•	•	-	1		(Check all applicable)			
(Luot)	(1100)	(initiality)		3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner			
C/O COLO	NY CAPITAI	L,	04/02/2015					X_ Officer (give title Other (specify below)			
INC., 2450						Chief Accounting Officer					
FLOOR									č		
(Street)			4. If Amendment, Date Original					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
	Filed(Mon	Filed(Month/Day/Year)									
SANTA MO	ONICA, CA 9	0404						_A_ Form filed by I			
SANTAIM	MICA, CA 9	/0404						Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurit	ies Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction	Date 2A. Dee	emed	3.	4. Securit			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Y		on Date, if TransactionAcquired (Securities	Form: Direct	Indirect	
(Instr. 3)		any (Month	/Day/Year)	CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)				2	(D) or Indirect (I)	Beneficial Ownership	
		× ×	<i>,</i>		× ,		,	Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
				Code V	A	or	D.::	(Instr. 3 and 4)			
Class A				Code V	Amount	(D)	Price				
Common								19,922	D		
Stock								. ,			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
OP Units	<u>(1)</u>	04/02/2015		А	13,825	(2)	(2)	Class A Common Stock	13,825	\$
Repo	rtina O	wners								

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Redington Neale C/O COLONY CAPITAL, INC. 2450 BROADWAY, 6TH FLOOR SANTA MONICA, CA 90404			Chief Accounting Officer		
Signatures					
/s/ David A. Palame, as Attorney-in-fact		04/06/2015			
<u>**</u> Signature of Reporting Person		Date			

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The OP Units are redeemable for shares of Class A Common Stock or cash at the discretion of the issuer.

These units are held by limited liability companies controlled by Thomas J. Barrack, Jr. and were acquired in connection with the issuer's acquisition of substantially all of its former manager's real estate and investment management business and operations. The amount

(2) represents the portion of the units allocated to the reporting person with respect to which, subject to certain vesting and other conditions, the reporting person has the right to require from time to time the redemption thereof and distribution to the reporting person of the common shares or cash received upon such redemption. The OP Units do not have expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.