NETGEAR, INC Form 4 December 30, 2015

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

30(h) of the Investment Company Act of 1940

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

burden hours per response... 0.5

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Kim Andrew Wonki			2. Issuer Name <b>and</b> Ticker or Trading Symbol NETGEAR, INC [NTGR]	5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
C/O NETGEAR, INC., 350 E.			12/30/2015	X Officer (give title Other (specify below)		
PLUMERIA DR.				SVP, Legal and Corp. Dev.		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
SAN JOSE, CA 95134				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

SAN JOSE	, CA 73134		Person						
(City)	(State)	(Zip) Tabl	le I - Non-D	Derivative Securities Acqu	iired, Disposed of	, or Beneficiall	y Owned		
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature o		
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Disposed of (D)	Securities	Ownership	Indirect		
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form: Direct	Beneficial		
		(Month/Dav/Year)	(Instr. 8)		Owned	(D) or	Ownership		

(Instr. 3)		any	Code	(Instr. 3, 4	and 3	))	Beneficially	Form: Direct
		(Month/Day/Year)	(Instr. 8)				Owned	(D) or
							Following	Indirect (I)
					(A)		Reported	(Instr. 4)
					or		Transaction(s)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)	
Common Stock	12/30/2015		M(1)	13,125	A	\$ 31.31	28,875	D
Common Stock	12/30/2015		S(1)	13,125	D	\$ 42.72	15,750	D
Common Stock	12/30/2015		M(1)	3	A	\$ 33.15	15,753	D
Common Stock	12/30/2015		S <u>(1)</u>	3	D	\$ 43.02	15,750	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

of

(Instr. 4)

## Edgar Filing: NETGEAR, INC - Form 4

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secur Secur Acqu or Dir (D)	rities ired (A) sposed of : 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 21.1						(2)	02/02/2020	Common Stock	0
Employee Stock Option (Right to Buy)	\$ 20.8						(3)	06/13/2020	Common Stock	0
Employee Stock Option (Right to Buy)	\$ 18.59						<u>(4)</u>	06/03/2018	Common Stock	0
Employee Stock Option (Right to Buy)	\$ 35.32						<u>(5)</u>	02/03/2021	Common Stock	15,000
Employee Stock Option (Right to Buy)	\$ 33.15	12/30/2015		M(1)		3	<u>(6)</u>	04/26/2021	Common Stock	3
Employee Stock Option (Right to	\$ 31.31	12/30/2015		M <u>(1)</u>		13,125	<u>(7)</u>	06/06/2022	Common Stock	13,125

Buy)					
Employee Stock Option (Right to Buy)	\$ 32.54	(8)	05/16/2023	Common Stock	25,000
Employee Stock Option (Right to Buy)	\$ 32.52	<u>(9)</u>	06/03/2024	Common Stock	25,000
Employee Stock Option (Right to	\$ 31.28	(10)	06/02/2025	Common Stock	25,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
Kim Andrew Wonki						
C/O NETGEAR, INC.			CVD Logal and Comp. Day			
350 E. PLUMERIA DR.			SVP, Legal and Corp. Dev.			
SAN JOSE, CA 95134						

# **Signatures**

Buy)

/s/ Andrew W.
Kim

12/30/2015

\*\*Signature of Pate Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 30, 2015.
- (2) 25% of the option grant is exercisable on 2/2/2011, and 1/48 of the option grant is exercisable each month thereafter.
- (3) 25% of the shares subject to the option shall vest on 6/13/2011, and 1/48th of the shares subject to the option shall vest each month thereafter, subject to the optionee continuing to be a service provider on such dates.
- This option becomes exercisable as to 1/4 of the shares on the first anniversary of the vesting commencement date of March 31, 2008, and 1/48th of the shares become exercisable each month thereafter.
- (5) 25% of the option grant is exercisable on 2/3/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (6) 25% of the option grant is exercisable on 4/26/2012, and 1/48 of the option grant is exercisable each month thereafter.
- (7) 25% of the option grant is exerciseable on 6/6/2013, and 1/48 of the option grant is exerciseable each month thereafter.

Reporting Owners 3

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- (8) 25% of the option grant is exerciseable on 5/16/2014, and 1/48 of the option grant is exerciseable each month thereafter.
- (9) 25% of the option grant is exercisable on 6/3/2015, and 1/48 of the option grant is exercisable each month thereafter.
- This Option shall be exercisable, in whole or in part, in accordance with the following schedule: 25% of the Shares subject to the Option
- (10) shall vest twelve months after the Vesting Start Date, and 1/48 of the Shares subject to the Option shall vest each month thereafter, subject to the Optionee continuing to be a Service Provider on such dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.