

SEREDA PETER L
Form 5
January 18, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
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1. Name and Address of Reporting Person *
SEREDA PETER L

2. Issuer Name **and** Ticker or Trading
Symbol
TELEPHONE & DATA SYSTEMS
INC /DE/ [TDS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2018

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)
Sr VP Finance

30 N. LASALLE ST., STE. 4000

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CHICAGO, IL 60602

____X____ Form Filed by One Reporting Person
____ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	Â	Â	Â	Â Â Â Â Â Amount (D) Price	621 (1)	I	401(k)

Reminder: Report on a separate line for each class of
securities beneficially owned directly or indirectly.

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contained in this form are not required to respond unless
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SEC 2270
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D S (
					(A) (D)	Date Exercisable	Expiration Date		Title	Amount or Number of Shares	
Option (Right to buy)	\$ 26.66	Â	Â	Â	Â Â Â <u>(4)</u>		05/25/2020		Common Shares	33,500	
Option (Right to Buy)	\$ 29.94	Â	Â	Â	Â Â Â <u>(4)</u>		05/13/2021		Common Shares	31,700	
Option (Right to Buy)	\$ 20.79	Â	Â	Â	Â Â Â <u>(4)</u>		05/16/2022		Common Shares	54,700	
Option (Right to Buy)	\$ 22.6	Â	Â	Â	Â Â Â <u>(3)</u>		05/10/2023		Common Shares	38,109	
Option (Right to Buy)	\$ 26.83	Â	Â	Â	Â Â Â <u>(3)</u>		05/16/2024		Common Shares	33,927	
Option (Right to Buy)	\$ 29.26	Â	Â	Â	Â Â Â <u>(3)</u>		05/11/2025		Common Shares	36,855	
Option (Right to Buy)	\$ 29.45	Â	Â	Â	Â Â Â <u>(3)</u>		08/15/2026		Common Shares	9,905	
Option (Right to Buy)	\$ 27.79	Â	Â	Â	Â Â Â <u>(3)</u>		05/24/2027		Common Shares	11,584	
Option (Right to Buy)	\$ 25.7	Â	Â	Â	Â Â Â <u>(3)</u>		05/23/2028		Common Shares	12,858	
Restricted Stock Units	Â	Â	Â	Â	Â Â Â <u>(2)</u>		05/11/2019		Common Shares	13,702	
Restricted Stock	Â	Â	Â	Â	Â Â Â <u>(2)</u>		05/24/2020		Common Shares	12,731	

Units

Restricted

Stock

 $\hat{A}$ $\hat{A}$ $\hat{A}$ $\hat{A}$ $\hat{A}$ $\hat{A}$ $\hat{A} \quad (2)$

05/23/2021

Common
Shares

12,857

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

SEREDA PETER L

30 N. LASALLE ST., STE. 4000

 $\hat{A}$ $\hat{A}$

Â Sr VP Finance

 $\hat{A}$

CHICAGO, IL 60602

Signatures

Julie D. Mathews, by power
of atty

01/18/2019

****Signature of Reporting Person**

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Voluntary reporting of shares in the TDS 401K. The information is based on a plan statement dated 12/31/18. The number of shares fluctuates and is attributable to the price of the shares on 12/31/18.
- (2) Restricted stock unit award pursuant to the 2011 Long Term Incentive Plan. Stock units will become vested on third annual anniversary.
- (3) Granted under the 2011 Long Term Incentive Plan. Stock options vest on the third annual anniversary.
- (4) Granted under the 2004 Long Term Incentive Plan. Options vest over a 3 year period with one-third of the number of shares becoming exercisable on the first annual anniversary, one-third on the second annual anniversary, and one-third on the third annual anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.