#### Edgar Filing: HARRIS & HARRIS GROUP INC /NY/ - Form 4

HARRIS & HARRIS GROUP INC /NY/ Form 4 July 25, 2002

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response...0.5

# (Print or Type Responses)

. Name and Address of Reporting erson*	<ol><li>Issuer Name and Tic</li></ol>	kar ar Tra	-l' O										
	and Address of Reporting 2. Issuer Name <b>and</b> Ticker or Trading Symbol								6. Relationship of Reporting Person(s) to Issuer				
layer Glenn	Harris & Harris Group, I			(Check all applicable)  Director 10% Owner									
. Glerin			X	Director	109	% Owner							
•								Oth bel	ner (specify ow)				
(Last) (First) (Middle)  One Rockefeller Plaza, Suite 1430	I.R.S. Identification Number of A. Statement for Reporting Person, if an entity (voluntary)  A statement for Month/Year (voluntary)						7. Individual or Joint/Group Filing (Check Applicable Line)						
			July 200	2									
			mendment ginal (Mont	, Date of	X	Form filed by One Reporting Person							
(Street) New York, NY 10020					Form filed by Person	More than	One Reporting						
(City) (State) (Zip)	Table I —N	on-Deriva	tive S	ecurities A	cquired	, Dispos	ed of, or Ben	eficially Ov	vned				
. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transa Code (Instr.8		(Instr. 3,	es Acquir osed of (I 4 and 5) (A) or (D)	))	5. Amount of Securities Beneficiall Owned at End of Month (Instr. 3 and 4)	Ownershii	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
ommon Stock	7/19/02	X	V	17,000	T	\$2.25	100,000	D					
ommon Stock <sup>1</sup>	1995	J	,	2,000	A	ψ <b>Ξ.Ξ</b> Ο	100,000	D					

1These shares were previously held in the name of Mr. Mayer's wife and, since 1995, they have been held jointly in the name of Mr. Mayer and his wife.

FORM 4 (continued)		Table II `Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
	2. Conversion			o. Dato Excitotable				10. Ownership	11.					
Derivative	or Exercise	Date	Code of	and Expiration Date	Amount of	Derivative	Derivative	Form of						

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Security (Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Ins	(	(A) o Disp o (1 3, 4	Acquired ) or sposed of (D) (Instr.			Underlying Securities (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned at End of Month (Instr. 4)	Derivative Securities Beneficially Owned at End of Month (Instr. 4)
			Code	V (	(A)	(D)	Date Exercisable	Expiration Date		Amount or Number of Shares			
Subscription Rights (Right to Buy)	\$2.25	7/19/02	X	V		17,000	IMMED.	7/26/02	Common Stock	17,000		0	D

Explanation of Responses:

/s/ Glenn E. Mayer July 19, 2002

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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<sup>\*\*</sup>Signature of Reporting Person Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

<sup>\*</sup>If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See18 U.S.C. 1001 and 15 U.S.C. 78ff(a).