

Bergren Scott  
Form 3/A  
December 07, 2006

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Bergren Scott

(Last) (First) (Middle)

14841 NORTH DALLAS  
PARKWAY

(Street)

DALLAS,Â TXÂ 75254

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

12/01/2006

3. Issuer Name **and** Ticker or Trading Symbol  
YUM BRANDS INC [YUM]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

X Officer \_\_\_ Other  
(give title below) (specify below)

President - Pizza Hut, Inc.

5. If Amendment, Date Original  
Filed(Month/Day/Year)

12/01/2006

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
X Form filed by One Reporting  
Person  
\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

2

D Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative  
Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

Date Exercisable

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title

4. Conversion  
or Exercise  
Price of  
Derivative  
Security

5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)

6. Nature of  
Indirect Beneficial  
Ownership  
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Phantom Stock	Â (1)	Â (2)	Common Stock	2,309.38	\$ (3)	D	Â
Employee Stock Option (right to buy)	04/01/2003(4)	04/01/2012	Common Stock	19,012	\$ 28.93	D	Â
Employee Stock Option (right to buy)	04/01/2003(4)	04/01/2012	Common Stock	19,012	\$ 28.93	D	Â
Phantom Stock	Â (5)	Â (2)	Common Stock	2,345.01	\$ 0 (3)	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	10,467.5	\$ (3)	D	Â
Employee Stock Option (right to buy)	01/23/2004(6)	01/23/2013	Common Stock	45,352	\$ 24.325	D	Â
Phantom Stock	Â (7)	Â (2)	Common Stock	3,146.03	\$ (3)	D	Â
Stock Option Right to Buy	01/27/2005(8)	01/27/2014	Common Stock	43,945	\$ 34.46	D	Â
Stock Option (Right to Buy)	01/28/2006(9)	01/28/2015	Common Stock	26,016	\$ 45.06	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	4,001.77	\$ (3)	D	Â
YUM Stock Appreciation Right	01/26/2007(10)	01/26/2016	Common Stock	31,079	\$ 48.935	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	16,460.61	\$ (3)	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	2,295.02	\$ (3)	D	Â
Phantom Stock	Â (1)	Â (2)	Common Stock	2,513.46	\$ (3)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bergren Scott 14841 NORTH DALLAS PARKWAY DALLAS, TX 75254	Â	Â	Â President - Pizza Hut, Inc.	Â

## Signatures

Scott Bergren

12/07/2006

    Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares of phantom stock payable upon retirement or termination and are subject to earlier withdrawal in accordance with the terms of YUM! Brands, Inc. deferred compensation plan.
- (2) The YUM! Brands, Inc. Executive Income Deferral Plan does not have specified expiration dates.
- (3) Conversion occurs on a one-for-one basis.
- (4) 25% per year beginning 04/01/2003
- (5) Shares of phantom stock are payable 4/1/2008 or retirement or termination and are subject to earlier withdrawal in accordance with the terms of the YUM! Brands, Inc. deferred compensation plan.
- (6) Vesting will occur 25% per year beginning 1/23/2004.
- (7) Payable 1/1/2008 or upon retirement and pursuant to the terms of the YUM! Brands deferred compensation plan.
- (8) Vesting will occur 25% per year beginning 1/27/2005.
- (9) Vesting will occur 25% per year beginning 01/28/2006
- (10) Vesting will occur 25% per year beginning 01/26/2007

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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