Edgar Filing: AETNA INC /PA/ - Form 4

AETNA INC /PA/ Form 4 December 02, 2002

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

1. Name and Address of Reporting Person* GREENWALD, GERALD				INC	e and Tick . (AET) (P 		Pe to	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (UAL CORP P. O. BOX 66100	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)					atement for th/Day/Year 9/02	10	X Director 10% Owner Officer (give title below) Other (specify below)			
CHICAGO, ILL 6						5. If Amendment, Date of Original (Month/Day/Year)		 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	Та	ble]	I Non-De	erivativ	visposed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	action Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans- action Code (Instr. 8) Code V		4. Securitie (A) or Disp (Instr. 3, 4 Amount	posed of & 5)	f (D)	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s)		ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON SHARES						(D)		(Instr. 3 & 4)	3,000	I	BY SPOUSE

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 3. Trans-3A. 1. Title of Derivative 2. Conver-5. Number 6. Date Exercisable 7. Title and Amount 8. Price of 9. Number of 10. 4. Deemed Transaction of and Expiration of Underlying Derivative Derivative Security sion or Owner-Securities Exercise Date Execution action Derivative Date Securities ship Security (Month/Day/ (Instr. 3 & 4) (Instr. 3) Price of Code Securities (Instr. 5) Beneficially Date, Form Derivative Acquired Year) Owned of Deriv (Month/ if any Security Day/ (Month/ (Instr. (A) or Following ative Year) Day/ 8) Disposed Reported Security Year) of (D) Transaction(s) Direct

Edgar Filing: AETNA INC /PA/ - Form 4

			Code V	(Instr. 3 & 5) (A)	(D)	Exer-cisable	Expira- tion Date	Title	Amount or Number of Shares	(Instr. 4)	(D) or Indirect (I) (Instr. 4
PHANTOM STOCK UNITS <u>(1)</u>	1 FOR 1					(1)	< / </td <td>COMMON SHARES</td> <td>8,284</td> <td>8,284</td> <td>D</td>	COMMON SHARES	8,284	8,284	D
DIRECTOR STOCK OPTION (RIGHT TO BUY) ⁽²⁾	\$35.78					(2)		COMMON SHARES	5,500	5,500	D
PHANTOM STOCK UNITS ⁽³⁾	1 FOR 1	11/29/02	A	20.965		(3)	~ ~	COMMON SHARES	20.965	11,523.550	D

Explanation of Responses:

(1) PREVIOUSLY REPORTED. UNITS GRANTED UNDER THE AETNA INC. NON-EMPLOYEE DIRECTOR COMPENSATION PLAN (THE "PLAN"). SUBJECT TO TERMS OF PLAN, UNITS MAY BE SETTLED IN AETNA COMMON STOCK, IN CASH OR A COMBINATION OF BOTH UPON REPORTING PERSON'S RETIREMENT.

(2) PREVIOUSLY REPORTED. OPTION GRANTED UNDER THE PLAN, EXERCISEABLE ON JANUARY 25, 2003 (1,834 SHARES), JANUARY 25, 2004 (1,833 SHARES) AND JANUARY 25, 2005 (1,833 SHARES).

(3) UNITS ACCRUED UNDER THE PLAN PURSUANT TO REINVESTMENT OF DIVIDEND EQUIVALENTS. SUBJECT TO TERMS OF THE PLAN, UNITS MAY BE SETTLED IN AETNA COMMON STOCK, IN CASH OR A COMBINATION OF BOTH UPON REPORTING PERSON'S RETIREMENT.

By: /s/ GERALD GREENWALD, BY PAIGE L. FALASCO,
ATTORNEY IN FACTDECEMBER 2
,2002

Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.