

Edgar Filing: LUBRIZOL CORP - Form 5

LUBRIZOL CORP
 Form 5
 February 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

() Form 3 Holdings Reported
 () Form 4 Transactions Reported

1. Name and Address of Reporting Person

Harnett, Gordon D.
 Brush Wellman Inc.
 17878 St. Clair Avenue
 Cleveland, OH 44110-2697

2. Issuer Name and Ticker or Trading Symbol

The Lubrizol Corporation
 LZ

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12/31/00

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) | | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|-------|---|
| | | | Amount | Price | |
| Common Shares | (1) | A | 2,546.1520 | A (1) | 10,294.4166 (2) |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call or Other Feature of Underlying Securities |
|---------------------------------|--|---------------------|---------|--|--|--|--|
| | | | | | | | |

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| | | | | | | | | | | |
|--------------------------------------|---|-----------|-------|---|-------|---|-------|-------|--------------|-------|
| Director Stock Option (Right to Buy) | R | \$25.7188 | 5/1/2 | A | 2,500 | A | 5/1/2 | 5/1/2 | Common Share | 1,250 |
| | | | | | | | 001 | 010 | s | |
| | | | | | | | 5/1/2 | 5/1/2 | Common Share | 625 |
| | | | | | | | 002 | 010 | s | |
| | | | | | | | 5/1/2 | 5/1/2 | Common Share | 625 |
| | | | | | | | 003 | 010 | s | |

Explanation of Responses:

(1) Acquired on various dates between January 1 and December 31, 2000, pursuant to Lubrizol's deferred compensation plan and deferred stock compensation plan, at prices ranging from \$18.9375 and \$27.9375 per share.

(2) Includes Common Shares that previously were reported on Table I as Phantom Stock Units, which are payable in Common Shares on a 1-for-1 basis.

SIGNATURE OF REPORTING PERSON

/s/ Gordon D. Harnett by Leslie M. Reynolds

DATE

February 8, 2001