MARKETAXESS HOLDINGS INC

Form 4

January 20, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires:

January 31, 2005

0.5

burden hours per

Estimated average

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * **DELISE ANTONIO L**

(First)

(Street)

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

MARKETAXESS HOLDINGS INC

[MKTX]

(Check all applicable)

(Month/Day/Year)

_X__ Officer (give title

10% Owner Other (specify

C/O MARKETAXESS HOLDINGS

(Middle)

01/15/2016

below)

Chief Financial Officer

INC., 299 PARK AVENUE

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10171

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	· / /		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock, par value \$0.003 per share	01/15/2016		F	1,203	D	\$ 101.77 (1)	53,376	D	
Common Stock, par value \$0.003 per share	01/15/2016		F	559	D	\$ 101.77 (1)	52,817	D	
	01/15/2016		F	493	D		52,324	D	

Edgar Filing: MARKETAXESS HOLDINGS INC - Form 4

\$ Common Stock, par 101.77 (2) value

\$0.003 per share

Common Stock, par

01/15/2016 306 $$0^{(3)}$ value A 52,630 D

\$0.003 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. onNumber	6. Date Exerc Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(onaDay) Teal)	any (Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/ e	(Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

DELISE ANTONIO L C/O MARKETAXESS HOLDINGS INC. 299 PARK AVENUE NEW YORK, NY 10171

Chief Financial Officer

Signatures

/s/ Ori Solomon, as Attorney-in-Fact for Antonio L. 01/20/2016 **DeLise**

> **Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: MARKETAXESS HOLDINGS INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the surrender of shares to the Company to satisfy Mr. Delise's tax withholding obligation upon the vesting of shares of restricted stock units previously granted to Mr. Delise.
- (2) Represents the surrender of shares to the Company to satisfy Mr. Delise's tax withholding obligation upon the vesting of shares of restricted stock previously granted to Mr. Delise.
- (3) Represents a grant of restricted stock units pursuant to the Company's 2012 Incentive Plan. The restricted stock units will vest in three installments of 34% on January 31, 2017 and 33% on each of January 31, 2018 and January 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.