HARKINS CHARLES F

Form 4 May 09, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HARKINS CHARLES F Issuer Symbol **HUDSON TECHNOLOGIES INC** (Check all applicable) /NY [HDSN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_ Officer (give title (Month/Day/Year) below) below) 1541 SPRING CREEK TR. 05/07/2013 VP Sales & Marketing (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **SENECA, KS 66538** Person

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	rities Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit DID Dispos (Instr. 3, 4)	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/07/2013		M	7,900	A	\$ 1.02	7,900 (1)	D	
Common Stock	05/07/2013		M	78,600	A	\$ 0.85	86,500 (2)	D	
Common Stock	05/07/2013		M	9,623	A	\$ 1.26	96,123 (3)	D	
Common Stock	05/07/2013		S	96,123	D	\$ 3.6967	0 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: HARKINS CHARLES F - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Da (Month/Day/Y	te	7. Title and L Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.02	05/07/2013		M	7,900	10/10/2006	10/10/2016	Common Stock	7,900
Stock Option (Right to Buy)	\$ 0.85	05/07/2013		M	78,600) 11/20/2007	11/20/2017	Common Stock	78,600
Stock Option (Right to Buy)	\$ 1.26	05/07/2013		M	9,623	12/17/2009	12/07/2019	Common Stock	9,623

Reporting Owners

Reporting Owner Name / Address	Relationships					
noporomg o mass rumo, rauncos	Director	10% Owner	Officer	Other		
HARKINS CHARLES F 1541 SPRING CREEK TR. SENECA, KS 66538			VP Sales & Marketing			

Signatures

Charles F. Harkins	05/09/2013	
**Signature of Reporting Person	Date	

Reporting Owners 2

Edgar Filing: HARKINS CHARLES F - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Excludes 234,364 shares that may be purchased pursuant to stock options.
- (2) Excludes 155,764 shares that may be purchased pursuant to stock options.
- (3) Excludes 146,141 shares that may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.