Wozniak Kurt F
Form 4
May 25, 2018

# FORM 4

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
*See* Instruction
1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION
#### Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|---|---|
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(Print or Type Responses)

| 1. Name and Address of Reporting Person [*]<br>Wozniak Kurt F | 2. Issuer Name **and** Ticker or Trading Symbol<br>COLUMBUS MCKINNON CORP [CMCO] | 5. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)<br>_____ Director          _____ 10% Owner<br>__X__ Officer (give title _____ Other (specify below)          below)<br>VP - Industrial Products |
|---|---|---|
| (Last)     (First)     (Middle)<br>205 CROSSPOINT PARKWAY | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/23/2018 | |
| (Street) | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_ Form filed by One Reporting Person<br>___ Form filed by More than One Reporting Person |
| GETZVILLE, NY 14068 | | |
| (City)     (State)     (Zip) | | |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 05/23/2018 | | F | | 493.1491 (1) | D | $ 38.33 | 27,780.2584 (2) | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474
(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
*(e.g.*, puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| | | | | Code | V | (A) | (D) | | | | | | |

# Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---|---|---|---|
| | Director | 10% Owner | Officer | Other |
| Wozniak Kurt F<br>205 CROSSPOINT PARKWAY<br>GETZVILLE, NY 14068 | | | VP - Industrial Products | |

# Signatures

| Kurt F. Wozniak | 05/25/2018 |
|---|---|
| **Signature of Reporting Person | Date |

# Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 493.1491 shares of the 1,453.1491 shares of restricted stock that vested on 5/23/18 were distributed as cash.

(2) Includes 9,297.2584 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 887.8691 shares become fully vested and non-forfeitable on 5/18/2019; 2,906.2982 shares become fully vested and non-forfeitable 50% per year for two years beginning on 5/23/2019; 4,359.4473 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018; and the remaining 2785 shares become fully vested and non-forfeitable 25% per year for three years beginning 5/22/2019, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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