#### COLUMBUS MCKINNON CORP

Form 3

August 05, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

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**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COLUMBUS MCKINNON CORP [CMCO] AuYeung Benjamin (Month/Day/Year) 07/27/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 140 JOHN JAMES AUDUBON (Check all applicable) **PARKWAY** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer \_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP-APAC Person AMHERST, ÂNYÂ 14228 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 4,429.5659 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative Security	Security: Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	05/20/2014	05/20/2023	Common Stock	1,184 (2)	\$ 18.95	D	Â
Non-Qualified Stock Options (Right to Buy)	05/19/2015	05/19/2024	Common Stock	1,874 (3)	\$ 27.12	D	Â
Non-Qualified Stock Options (Right to Buy)	05/18/2016	05/18/2025	Common Stock	8,983 (4)	\$ 24.94	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
AuYeung Benjamin 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228	Â	Â	VP-APAC	Â	

## **Signatures**

Mary C. O'Connor, Power of Attorney for Benjamin AuYeung

08/05/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 3961.5659 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 283.1459 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/20/2016, 558.420 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2016, and the remaining 3120 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (3) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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